

# **Hadronization and jet substructure at the Relativistic Heavy Ion Collider (RHIC) and the Large Hadron Collider (LHC)**

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Joe Osborn

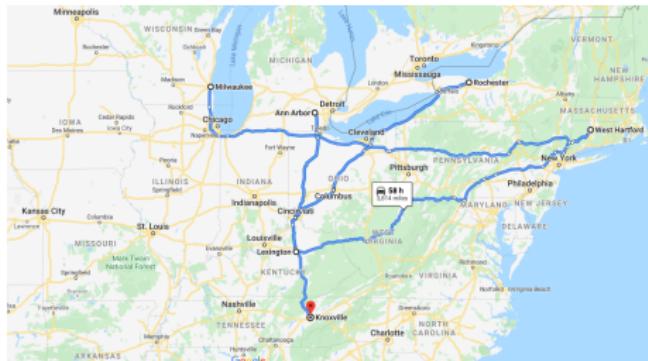
Oak Ridge National Laboratory, University of Michigan

February 3, 2020



# About Me

- Born in Milwaukee, WI
- Moved several times as a child
- Lived in WI, Sweden, CT, NY, KY



## About Me

- Born in Milwaukee, WI
- Moved several times as a child
- Lived in WI, Sweden, CT, NY, KY
- Received B.S. in Physics and B.S. in mathematics from University of Kentucky (2013)
- Received M.S. and Ph.D in physics from University of Michigan (2018)
- Worked 1 year as a postdoc at UM
- Now a postdoc at ORNL



## About Me

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- Paul Laurence Dunbar High School
  - Hydrodynamic supernovae simulations

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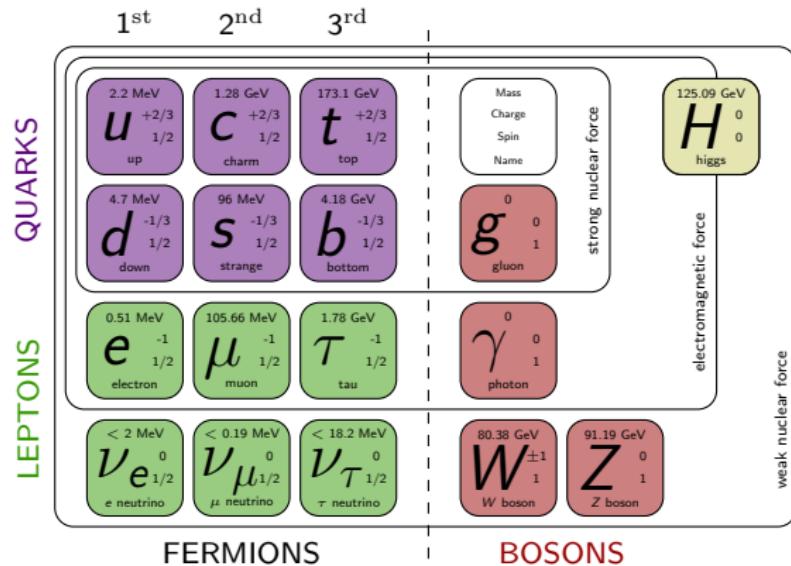
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- Postdoctoral
  - LHCb at CERN (today!)
  - Various software initiatives



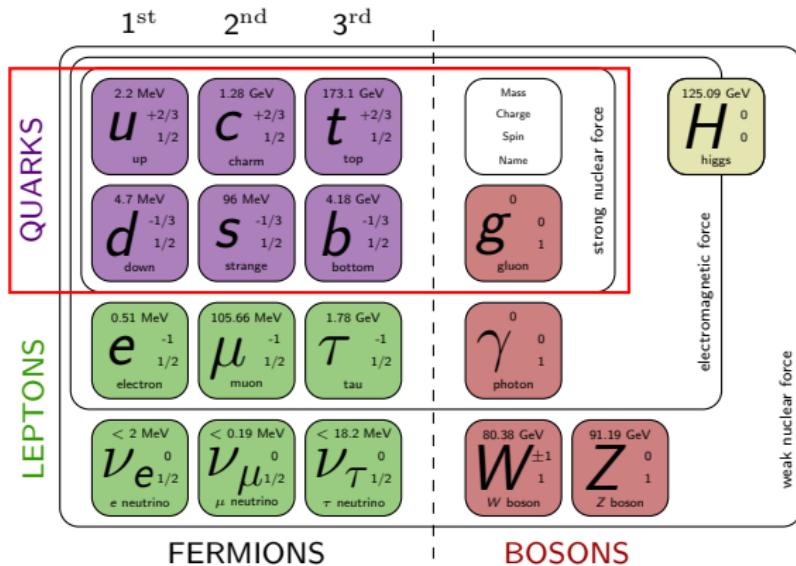
# The Standard Model

- The Standard Model of particle physics is one of the most successful descriptions of fundamental interactions



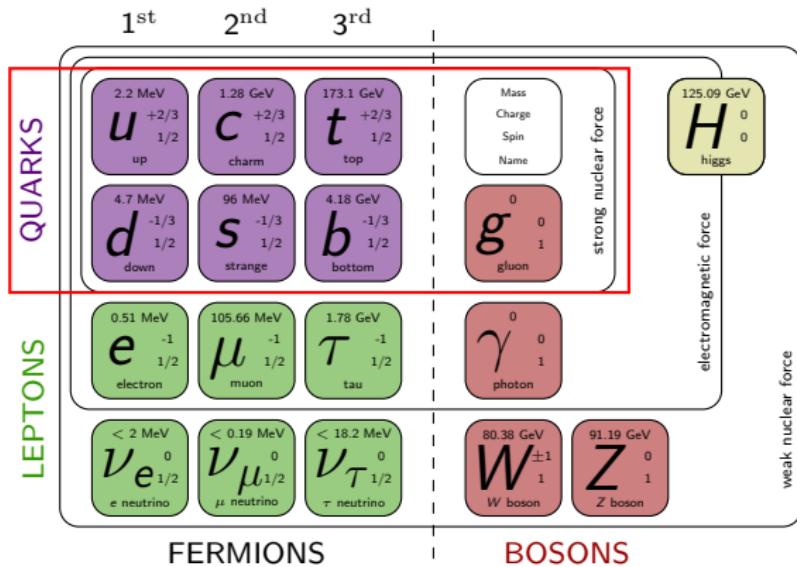
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- Two main “sectors”
  - Strong force
  - Electroweak force



# The Standard Model

- The Standard Model of particle physics is one of the most successful descriptions of fundamental interactions
- Two main “sectors”
  - Strong force
  - Electroweak force
- Strong force particularly not well understood due to confinement - quarks and gluons cannot be observed freely!

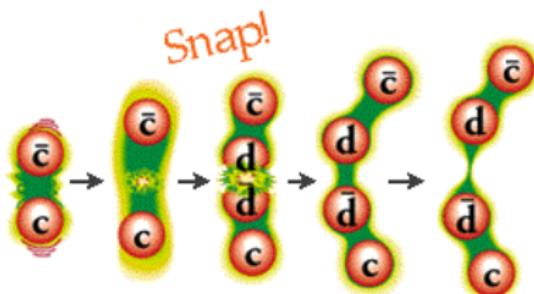


# Quantum Chromodynamics

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- Theoretical description in hand since the 1970's

# Quantum Chromodynamics

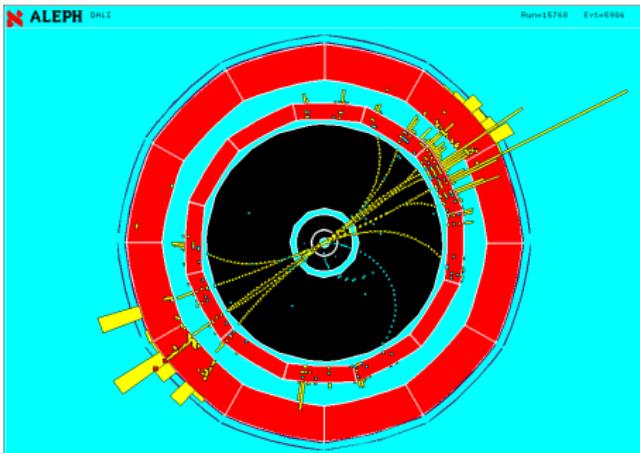
- Quantum chromodynamics (QCD) is the theory that describes the strong force
- Theoretical description in hand since the 1970's
- However, connecting the field theory degrees of freedom (quarks and gluons) to the observables (hadrons) remains a challenge!
  - Perturbative and nonperturbative QCD



[particleadventure.org](http://particleadventure.org)

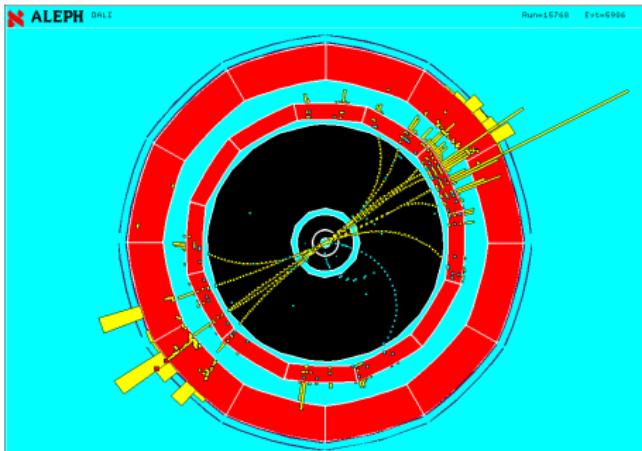
- Quarks and gluons are color confined within hadrons!

# Observing Quarks and Gluons



- To “observe” quarks and gluons (partons), we must produce them via scattering processes
- Can use  $e^+e^- \rightarrow q\bar{q}$ ,  
 $e^-p \rightarrow e^-q + X$ , or  
 $p p \rightarrow q/g + X$

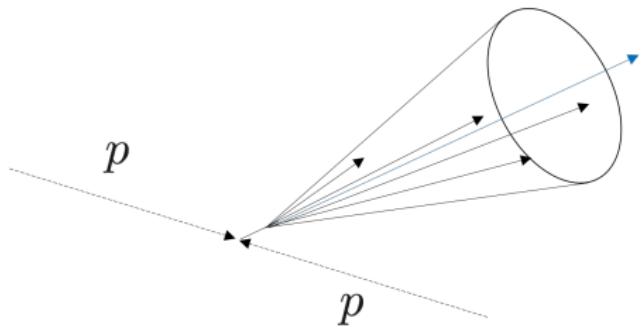
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- Can use  $e^+e^- \rightarrow q\bar{q}$ ,  $e^-p \rightarrow e^-q + X$ , or  $p p \rightarrow q/g + X$
- After producing a parton, it nonperturbatively becomes bound state hadron(s)
- The collimated spray of particles that results is called a jet

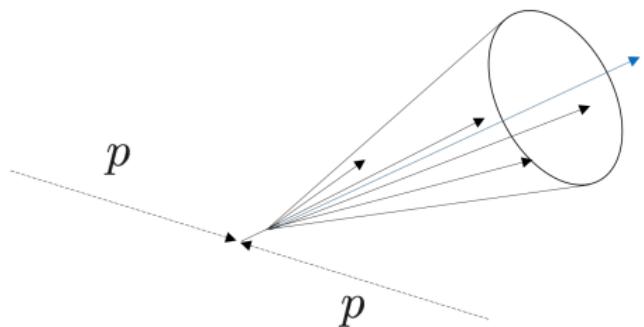
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- Enabled by more robust comparisons that can be made between theory and experiment with recent jet finding algorithms



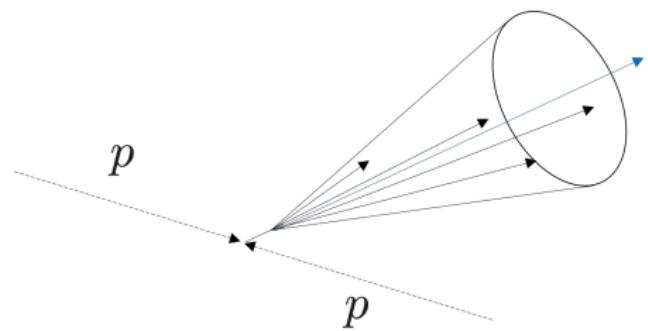
# Jets

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- Enabled by more robust comparisons that can be made between theory and experiment with recent jet finding algorithms
- Jets are a proxy for partons, and thus provide sensitivity to the underlying partonic dynamics



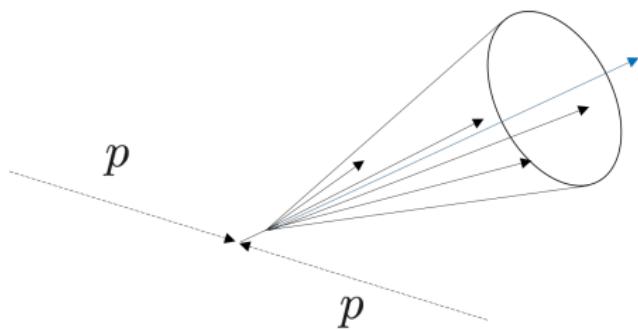
# Jet Hadronization

- BUT - jets are still formed from final-state hadrons!
- Nonperturbative elements of QCD still important in understanding perturbative jets

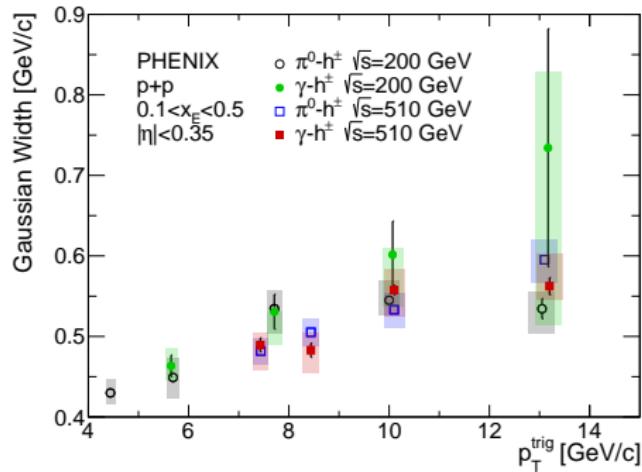


# Jet Hadronization

- BUT - jets are still formed from final-state hadrons!
- Nonperturbative elements of QCD still important in understanding perturbative jets
- We can use a field theory DOF (jet/parton) to learn about the observable DOF (bound-state hadron formation)



## Examples: Perturbing the nonperturbative

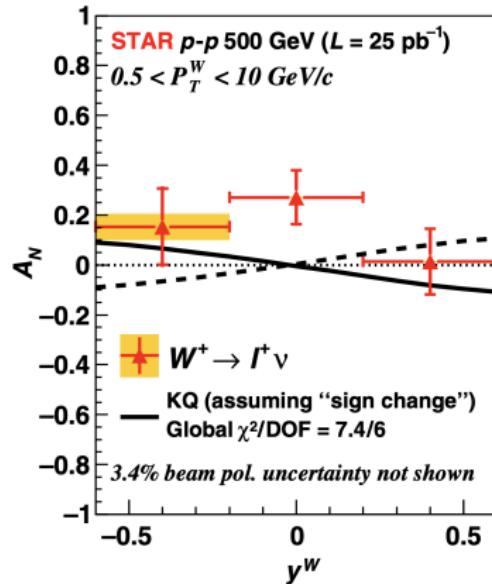


Phys. Rev. C 99, 044912 (2019)

Phys. Rev. D 98, 072004 (2018)

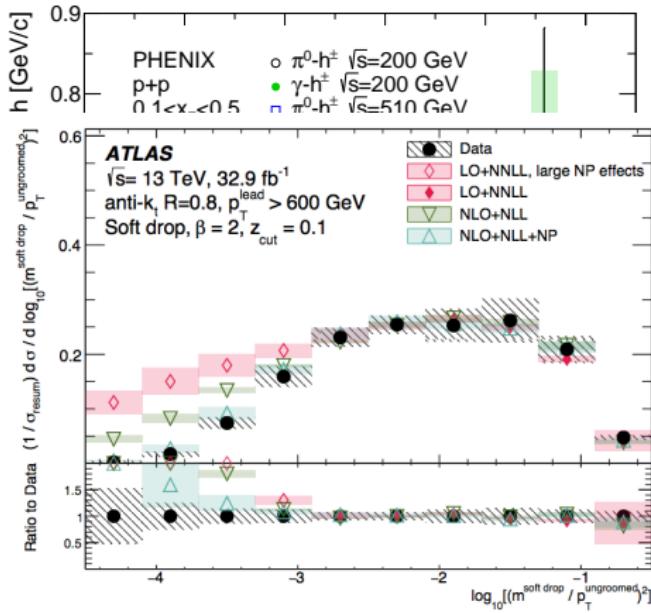
Phys. Rev. D 95, 072002 (2017)

- Using large energy-scale measurements to look for effects from QCD color



Phys. Rev. Lett. 116, 32301 (2016)

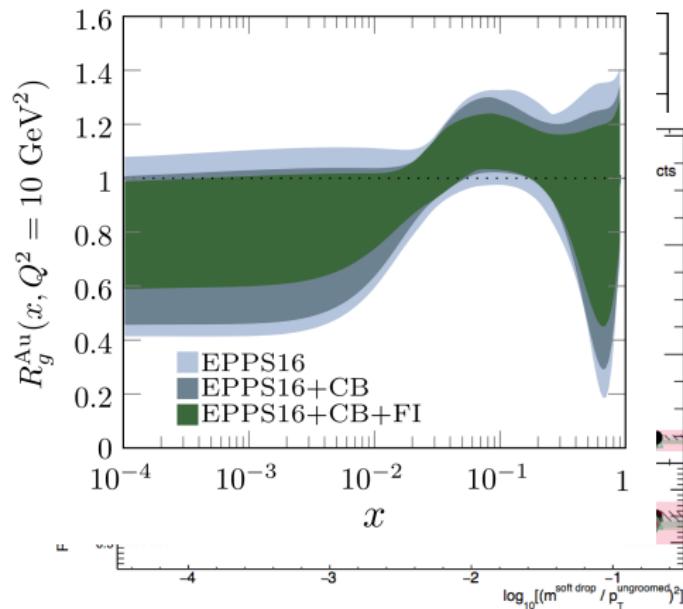
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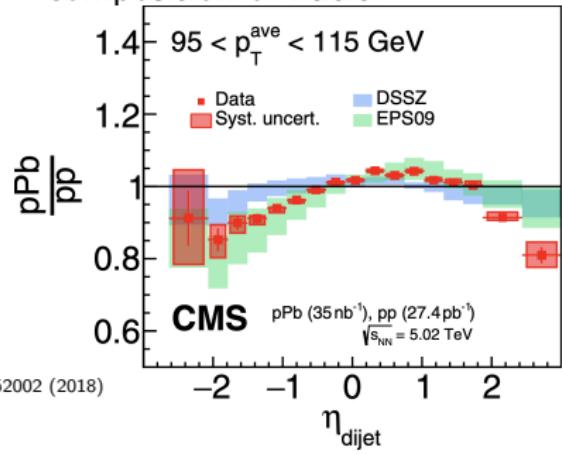
Helenius, Lajoie, JO, Paakkinnen, Paukkonen  
Phys. Rev. D 100, 014004 (2019)



Phys. Rev. Lett. 121, 092001 (2018)

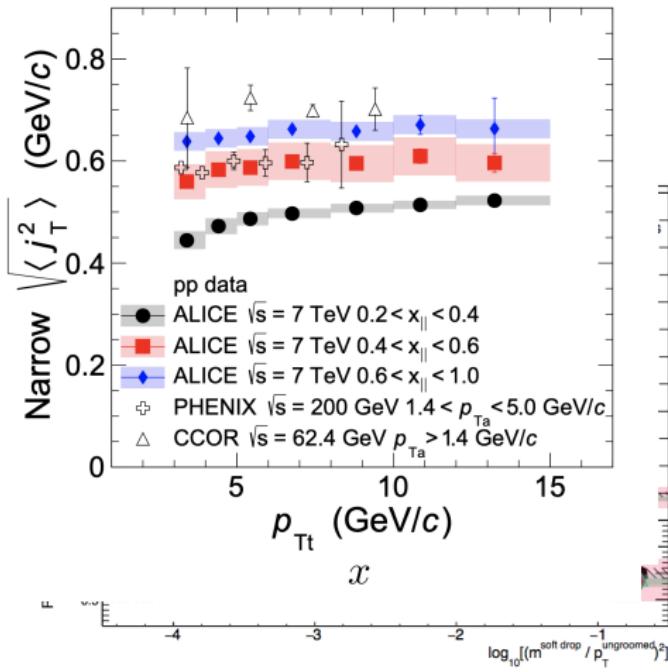
Phys. Rev. Lett. 121, 062002 (2018)

- Using large energy-scale measurements to look for effects from QCD color
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- Using jets to understand partonic composition of nuclei



## Examples: Perturbing the nonperturbative

JHEP 1903, 169 (2019)

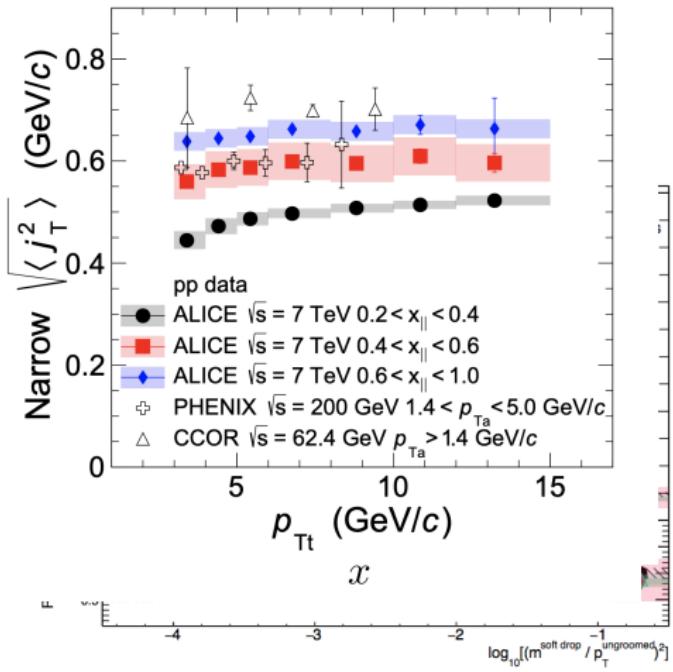


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- Using jets to understand partonic composition of nuclei
- Multi-dimensional measurements of hadron formation

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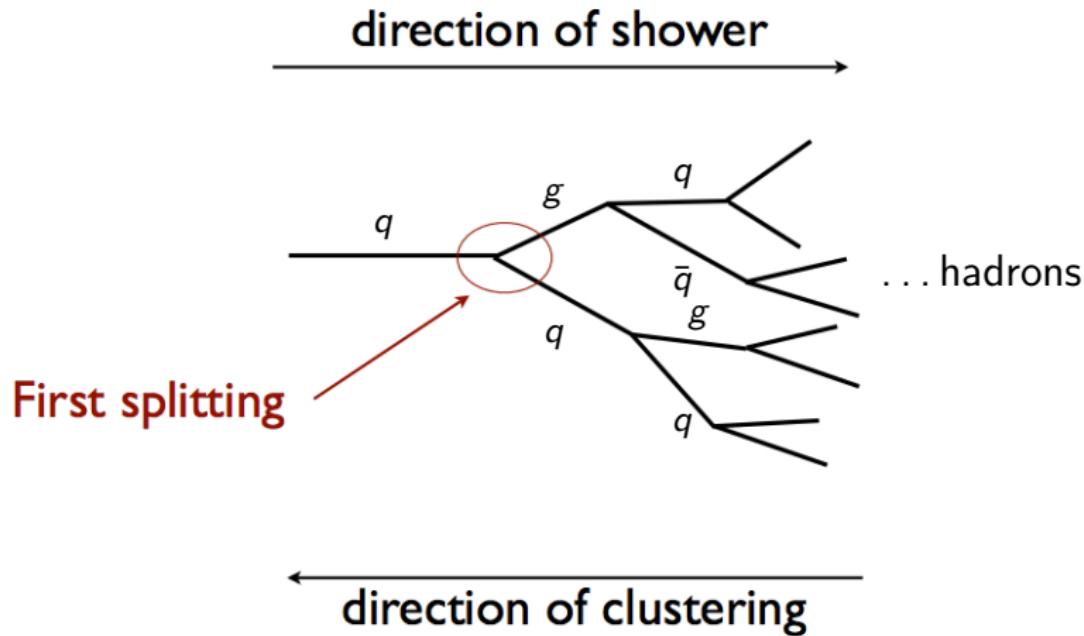


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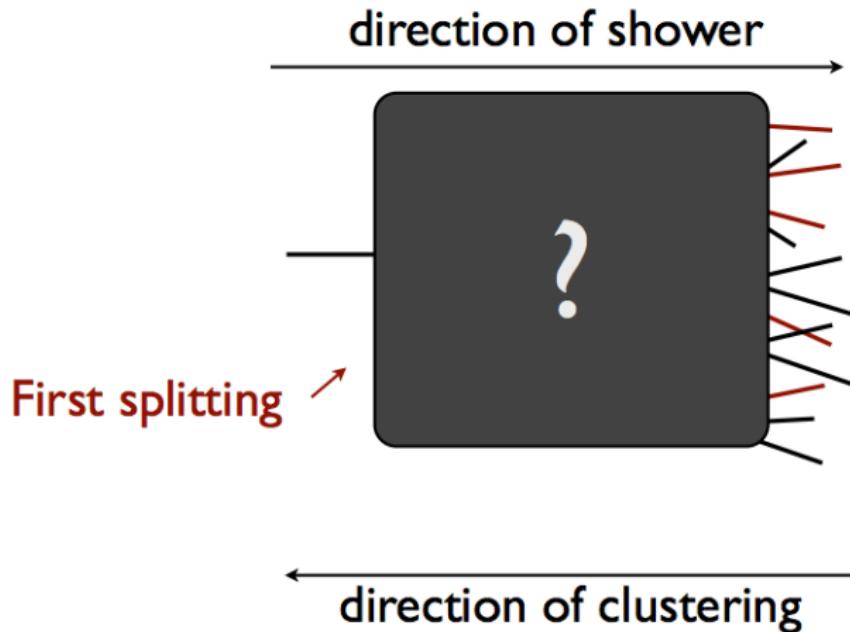
- Using large energy-scale measurements to look for effects from QCD color
- Using jet mass to probe hadron formation
- Using jets to understand partonic composition of nuclei
- Multi-dimensional measurements of hadron formation
- .....

**How do jets really form?**

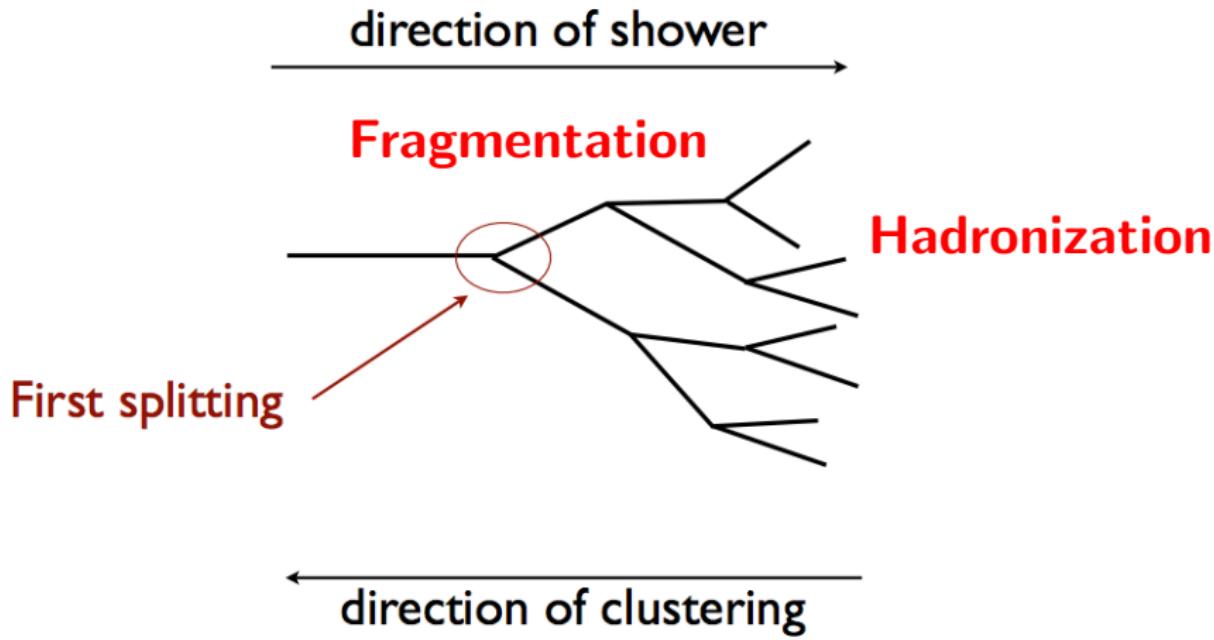
### Parton shower: in theory....



## Parton shower: in practice

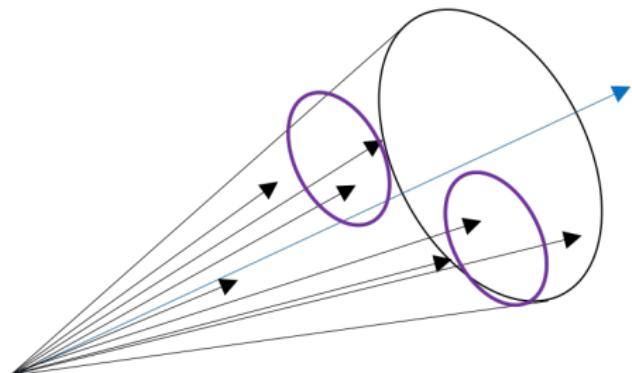


## Parton shower: in theory....



# Fragmentation vs. Hadronization

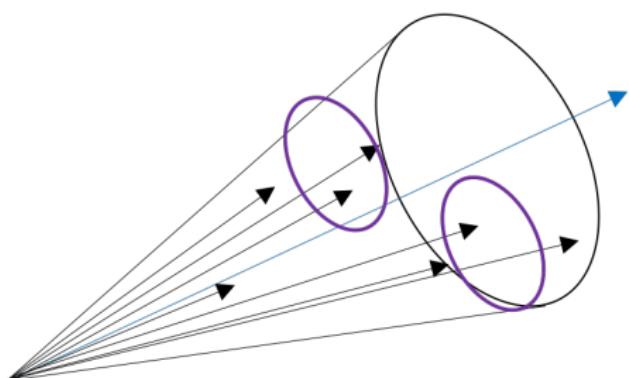
## Fragmentation



- Use jet grooming algorithms to identify “prongs” of jet, as a proxy for partonic splittings

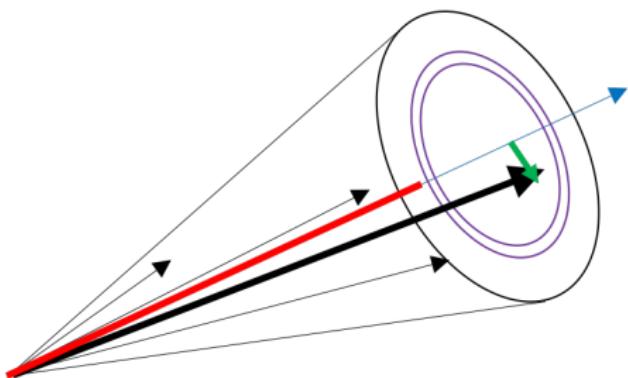
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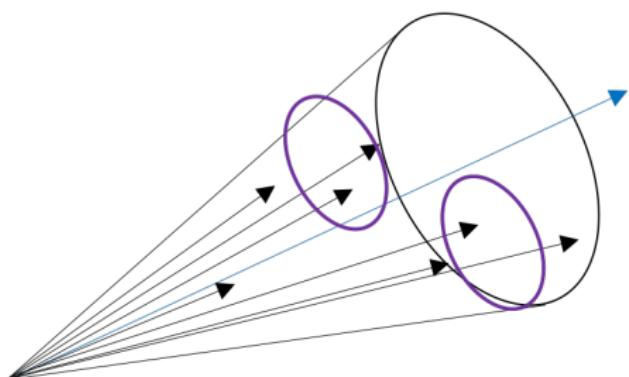
Hadronization



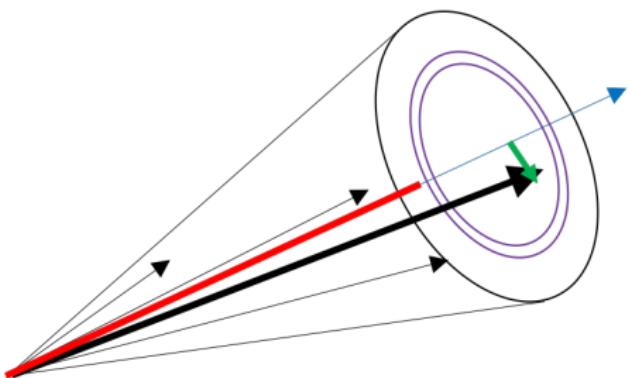
- Use individual hadrons to study correlations with jet axis

# Fragmentation vs. Hadronization

## Fragmentation



## Hadronization

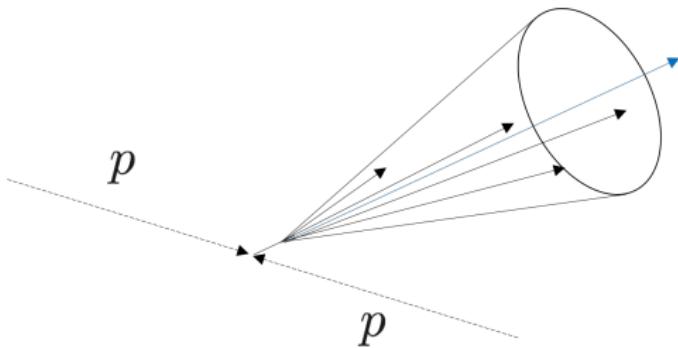


- Use jet grooming algorithms to identify “prongs” of jet, as a proxy for partonic splittings  
**Emphasis on parton shower (perturbative QCD)**

- Use individual hadrons to study correlations with jet axis  
**Emphasis on hadron formation (NONperturbative QCD)**

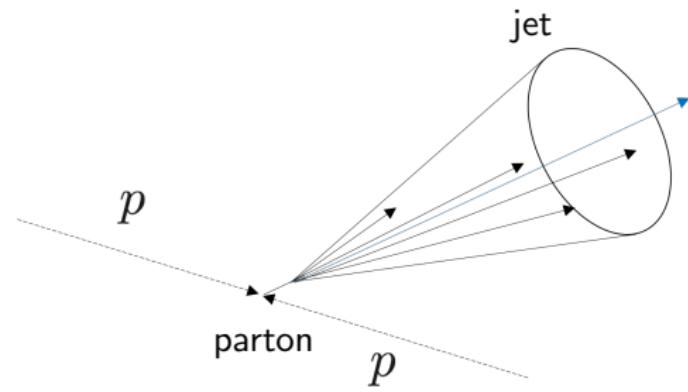
**Jet substructure at LHCb**  
→ focus on hadronization

## Hadronization: What do we want?



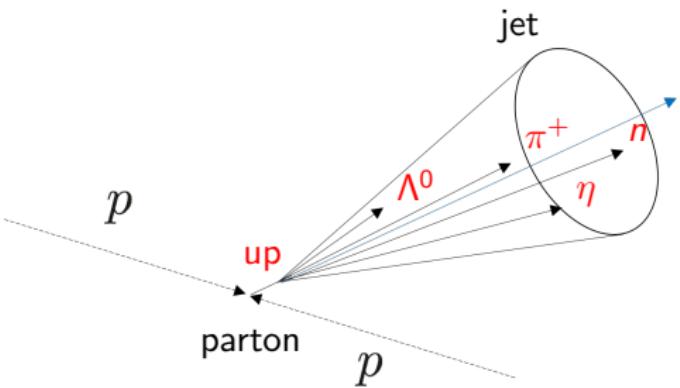
- What is on our wish list to *robustly* study hadronization?

## Hadronization: What do we want?



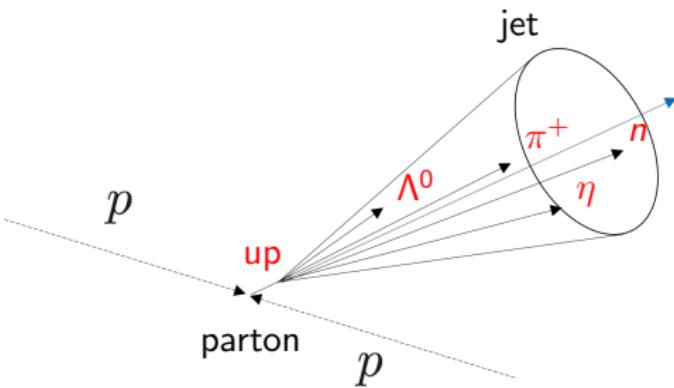
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  1. A way to connect the initial-state parton to the final-state hadrons
    - Jets, as a proxy for a parton, are a tool to connect the field theory DOF to the observables

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  2. A way to connect the flavors of the initial-state parton to the final-state hadrons
    - Would allow for complete characterization of parton  $\rightarrow$  hadron

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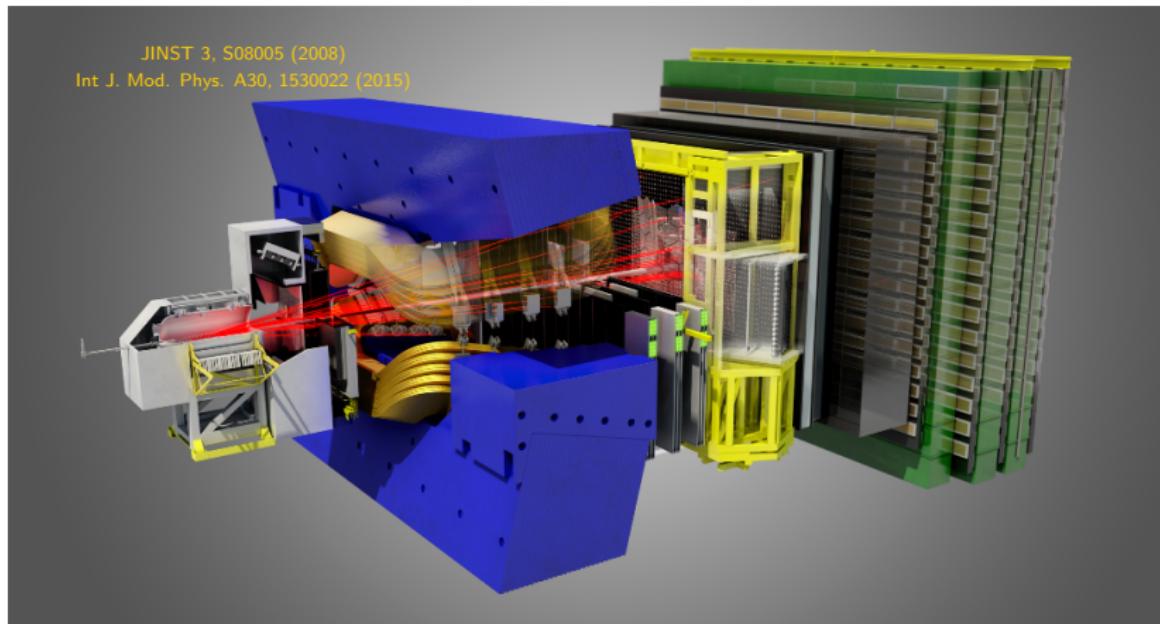
- Baryon vs. meson (3 quark vs. 2 quark states)
- Correlations (e.g. strange, heavy flavor quarks...)
- Resonance production ( $\phi(s\bar{s})$ ,  $J/\psi(c\bar{c})$ ,  $\Upsilon(b\bar{b})$ )
- ...

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# Large Hadron Collider

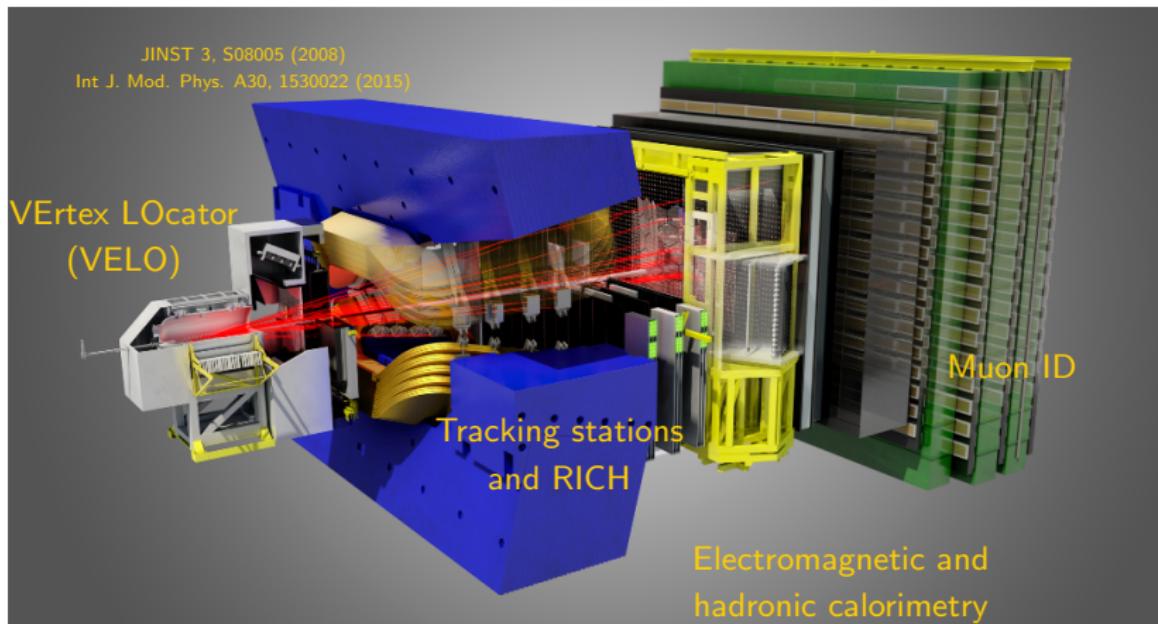


# LHCb Experiment



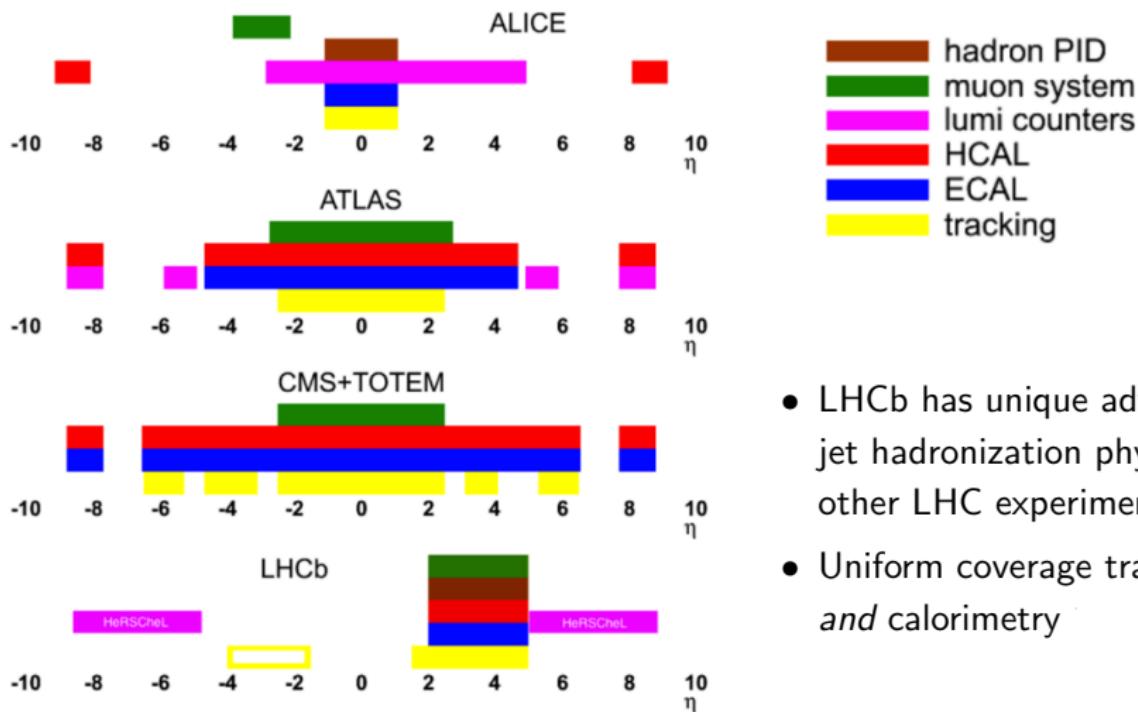
- Precision tracking and particle identification spectrometer at forward rapidities ( $2 < \eta < 5$ )

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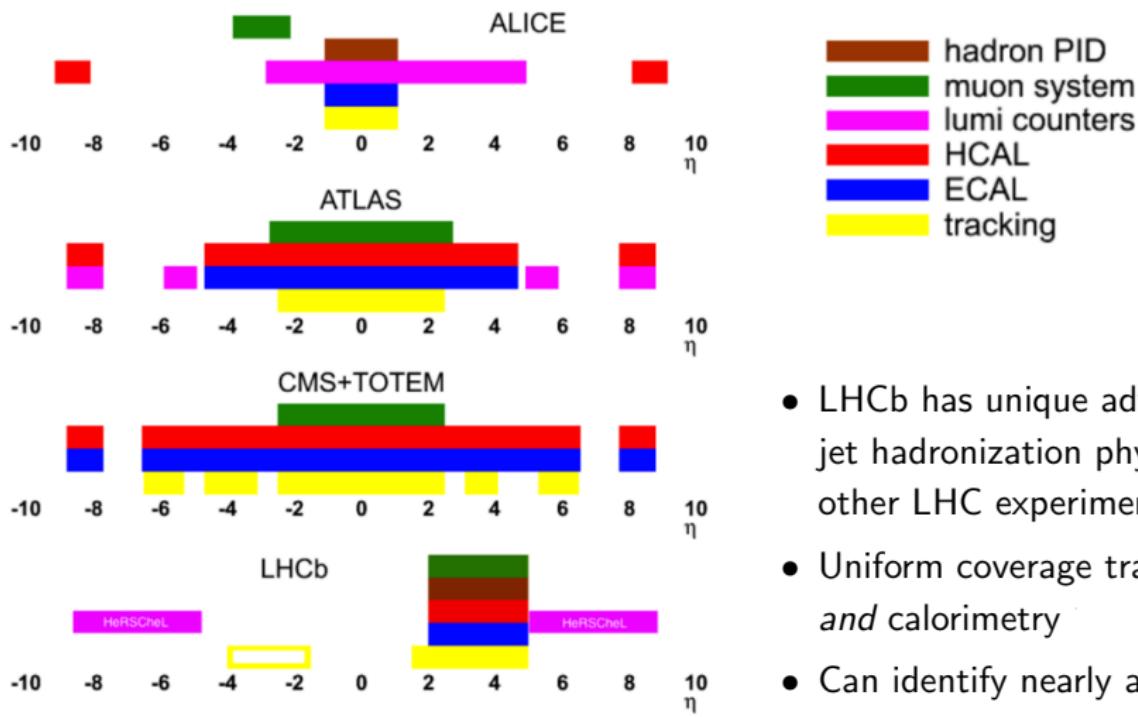
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# Why LHCb?



- LHCb has unique advantages for jet hadronization physics over other LHC experiments
- Uniform coverage tracking, PID, and calorimetry

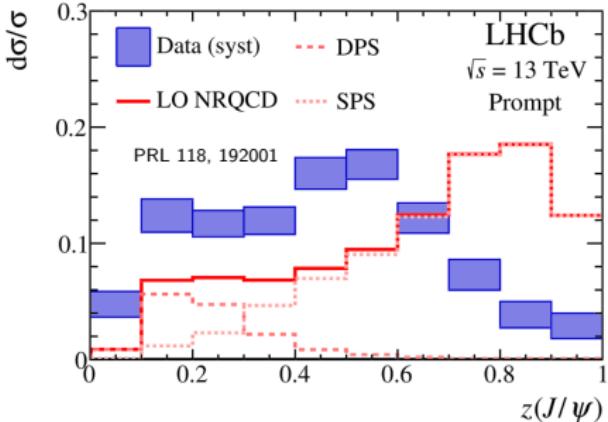
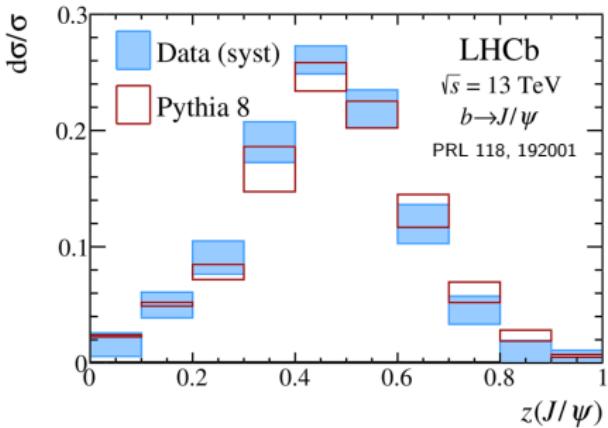
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- Can identify nearly all particles within a high  $p_T$  jet

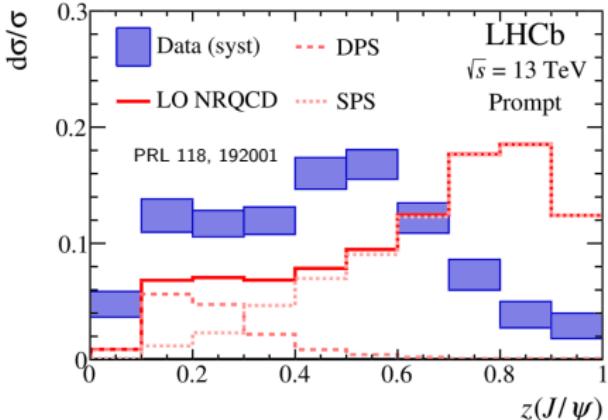
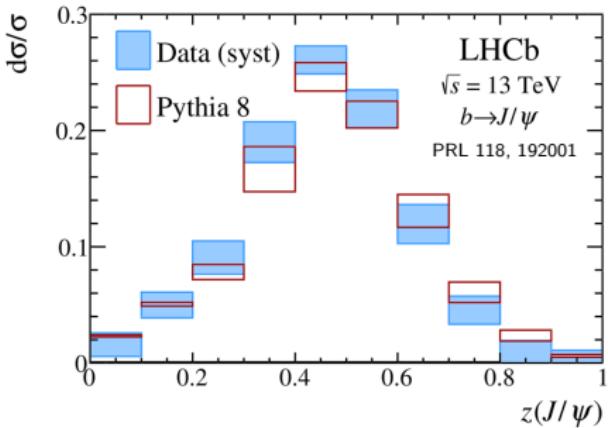
# Jets at LHCb

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  - Heavy flavor jets
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- First LHCb jet substructure measurement was  $J/\psi$ -in-jet production



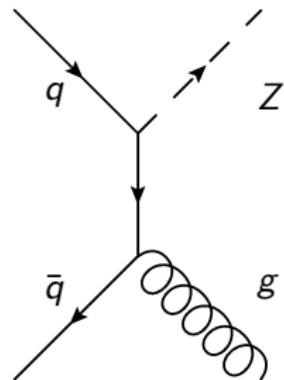
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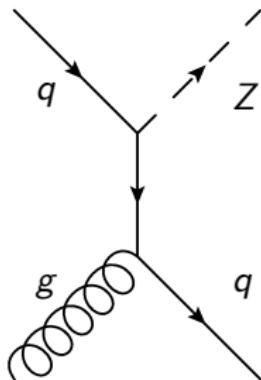


## Why $Z$ +jet?

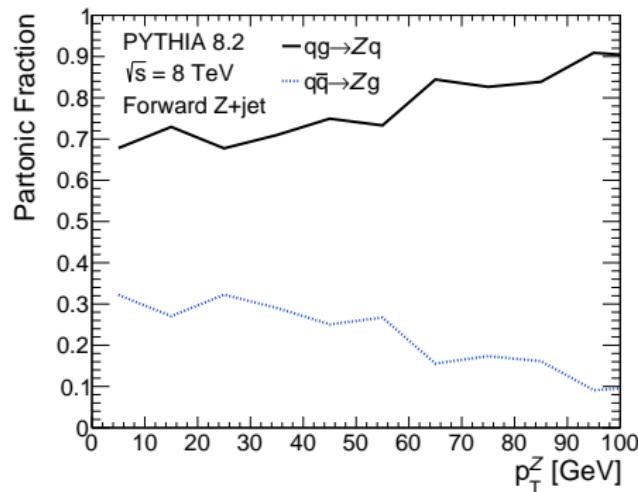
$$q\bar{q} \rightarrow gZ$$



$$qg \rightarrow qZ$$

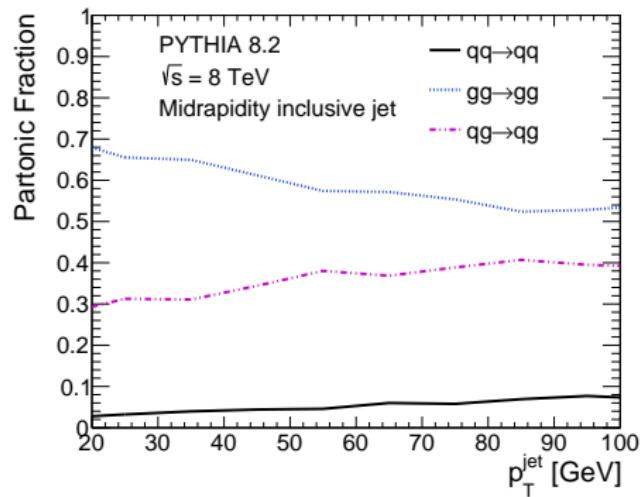


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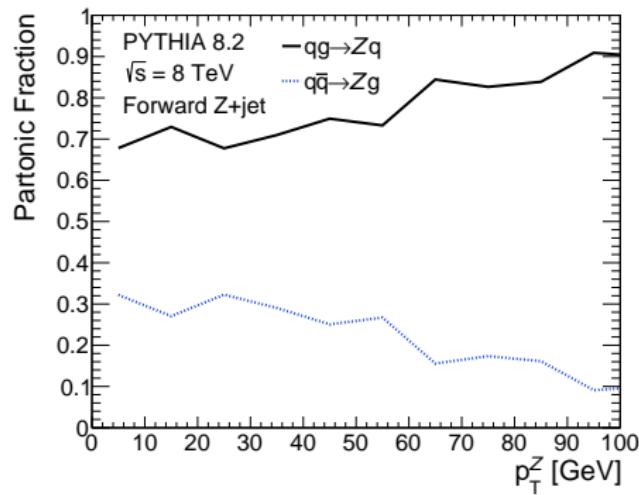
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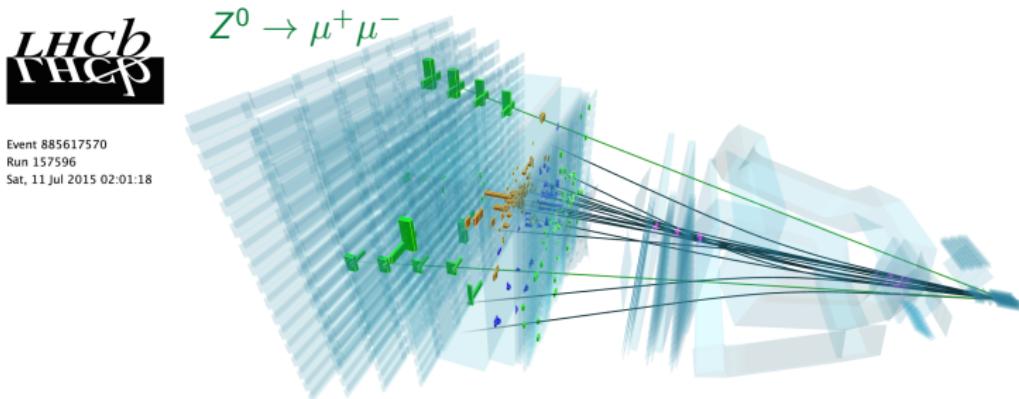
# Why $Z$ +jet?



- $Z$ +jet is predominantly sensitive to light quark jets
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- Opportunity to study light quark vs. gluon:
  - Hadronization dynamics
  - Jet properties

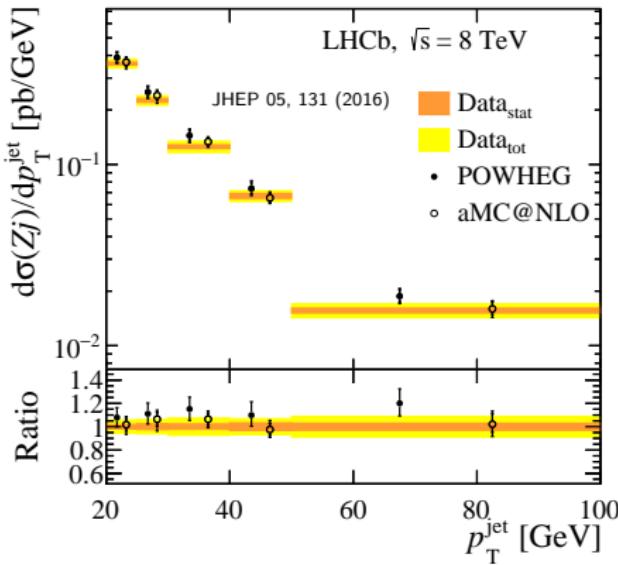
# $Z + \text{jet}$ at LHCb

- $Z + \text{jet}$  cross section published at  $\sqrt{s} = 7$  and 8 TeV
- High signal-to-background, established analysis techniques



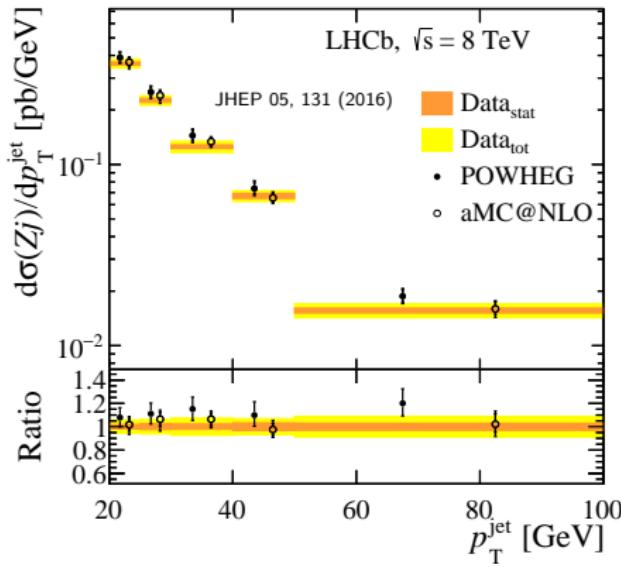
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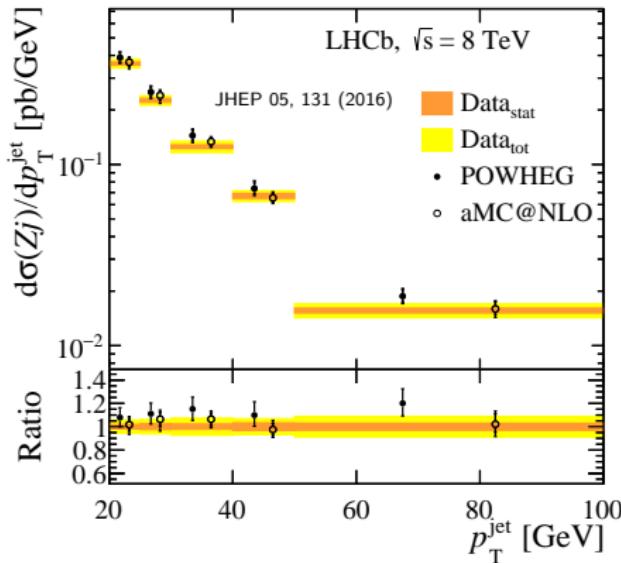
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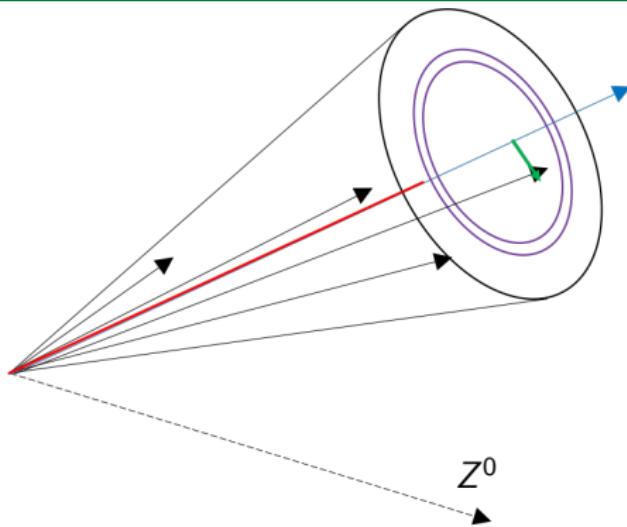
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- First measurement of charged hadrons within  $Z$  tagged jets
- First measurement of charged hadrons-in-jets at forward rapidity

# Observables



$$z = \frac{p_{jet} \cdot p_h}{|p_{jet}|^2}$$

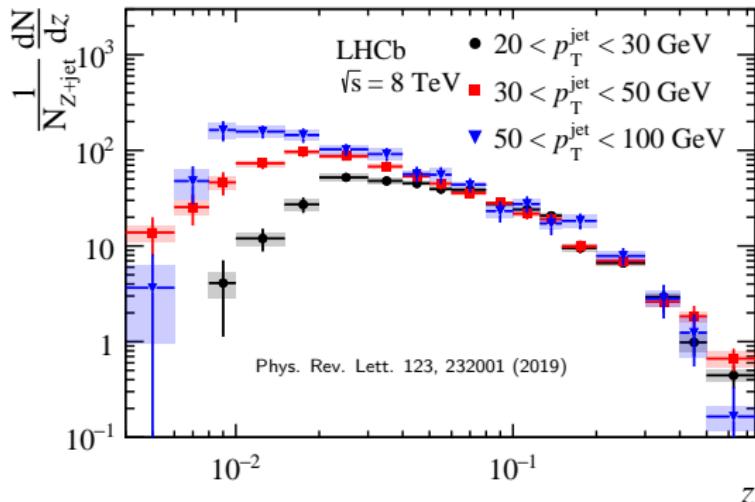
$$j_T = \frac{|p_h \times p_{jet}|}{|p_{jet}|}$$

$$r = \sqrt{(\phi_h - \phi_{jet})^2 + (y_h - y_{jet})^2}$$

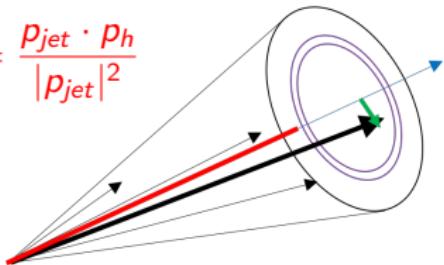
- Measure hadronization observables in two dimensions
  - Longitudinal momentum fraction  $z$
  - Transverse momentum  $j_T$
  - Radial profile  $r$  (transverse)
- Reminder - each of these observables is for a single hadron within the jet

# Results

- Measurements in three jet transverse momentum ( $p_T^{jet}$ ) bins, integrated over  $Z$  kinematics
- Longitudinal hadron-in-jet distributions independent of jet  $p_T$  at high  $z$
- Distributions diverge at low  $z$  due to kinematic phase space available

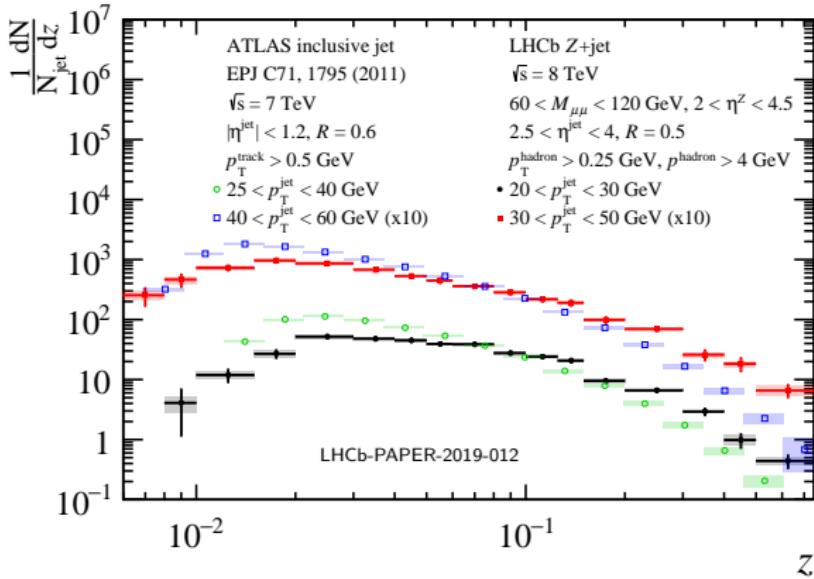


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# ATLAS and LHCb Comparisons

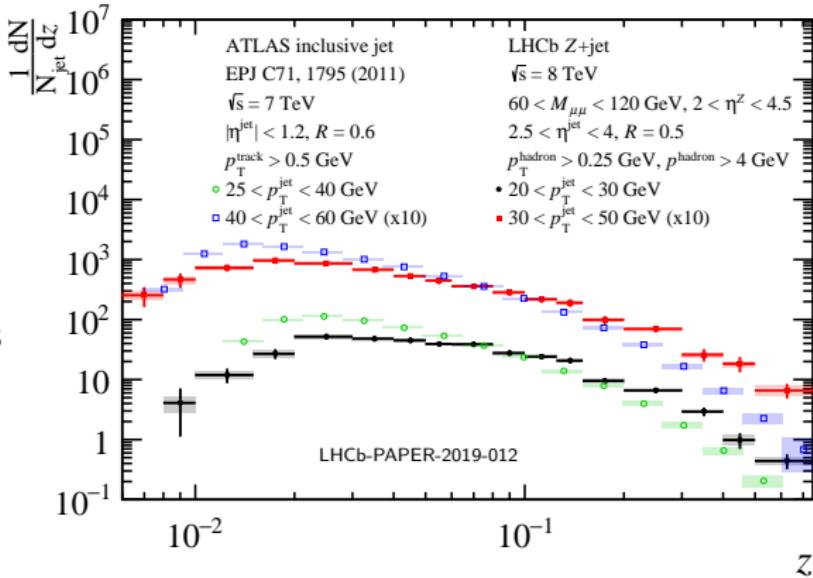
- Compare ATLAS gluon dominated to LHCb light quark dominated



LHCb quark jet (filled) - red and black  
ATLAS gluon jet (open) - blue and green

# ATLAS and LHCb Comparisons

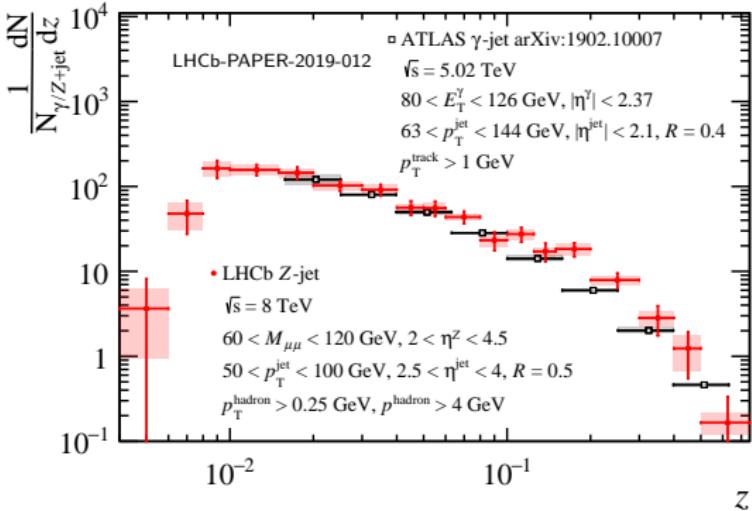
- Compare ATLAS gluon dominated to LHCb light quark dominated
- Light quark jets produce higher momentum particles than gluon jets
- Light quark jets are more collimated than gluon jets



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ATLAS gluon jet (open) - blue and green

# Comparison to ATLAS $\gamma$ -jet

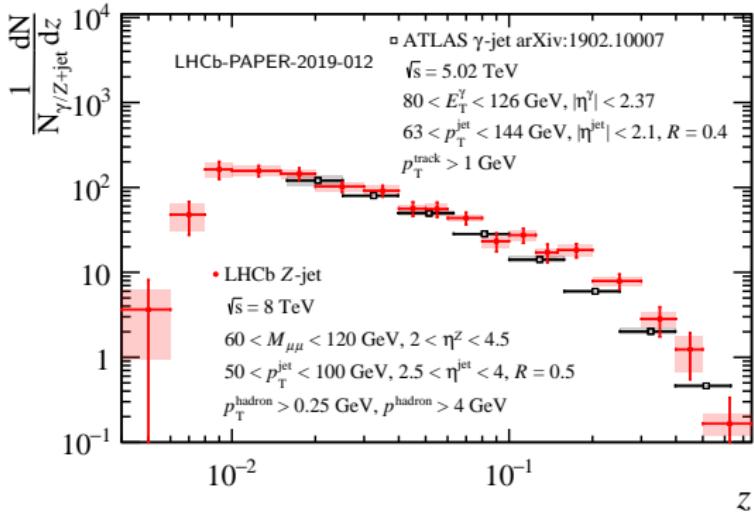
- ATLAS midrapidity  $\gamma$ -jet and LHCb forward rapidity  $Z$ -jet distributions are very similar



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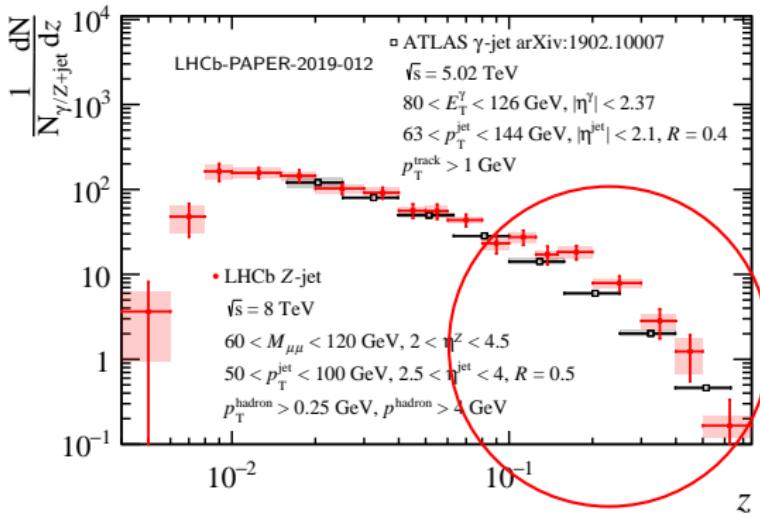
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- Light quark jet structure shows little rapidity dependence



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# Comparison to ATLAS $\gamma$ -jet

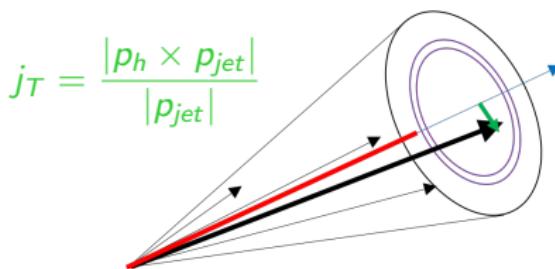
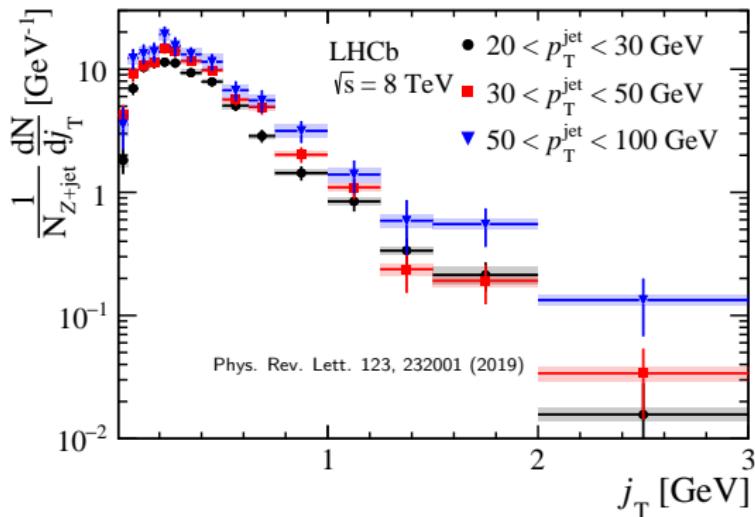
- ATLAS midrapidity  $\gamma$ -jet and LHCb forward rapidity  $Z$ -jet distributions are very similar
- Both processes light quark jet dominated
- Light quark jet structure shows little rapidity dependence
- Hint of more collimated jets in  $Z$ +jet
  - Massive  $Z$  vs. massless  $\gamma$ ?



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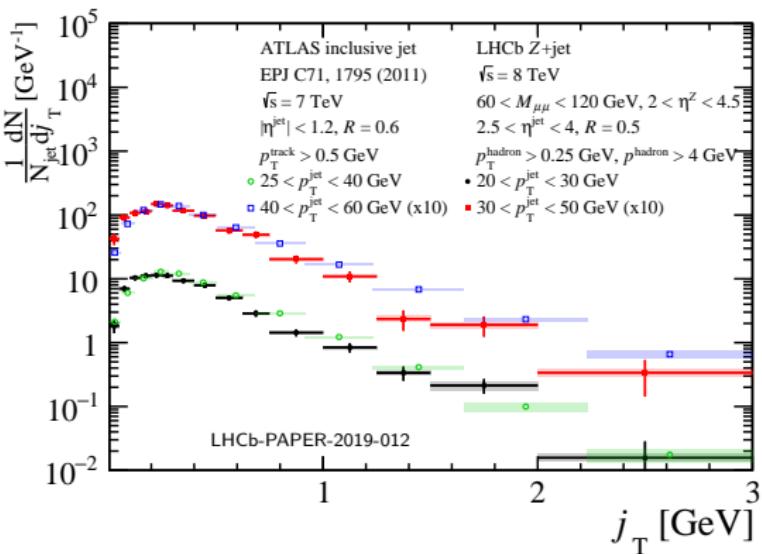
# Results

- Transverse momentum shows nonperturbative to perturbative transition
  - Gaussian shape at small  $j_T$  transitioning to power law
- Shapes very similar as a function of  $p_T^{jet}$  - slight increase of  $\langle j_T \rangle$  with  $p_T^{jet}$



# ATLAS and LHCb Comparisons

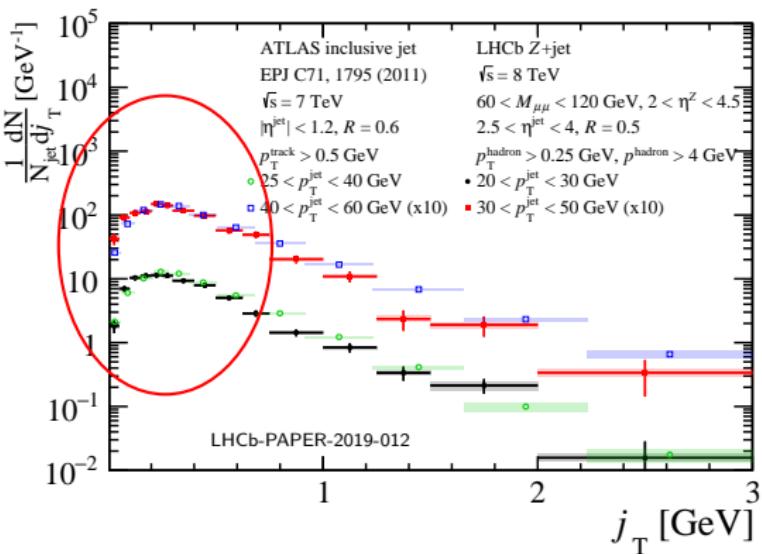
- Transverse momentum distributions show smaller  $\langle j_T \rangle$  in  $Z + \text{jet}$  vs. inclusive jet at small  $j_T$ 
  - Consistent with more collimated light quark vs. gluon jets



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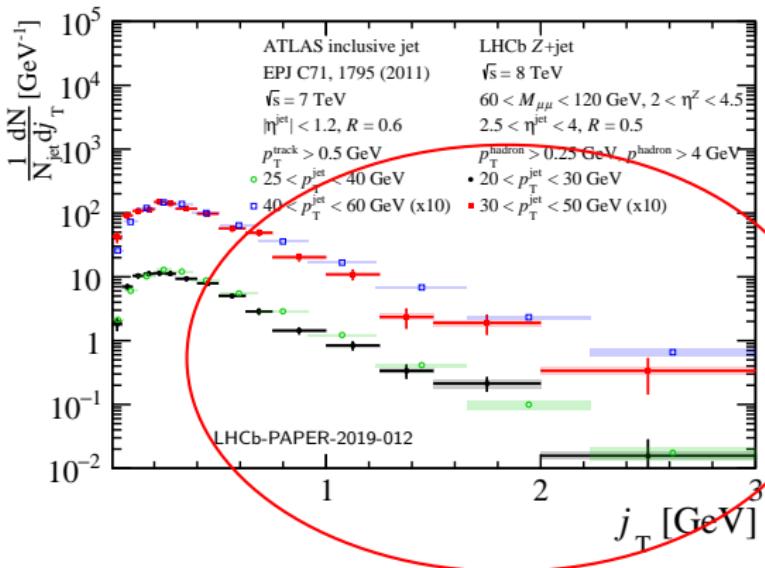
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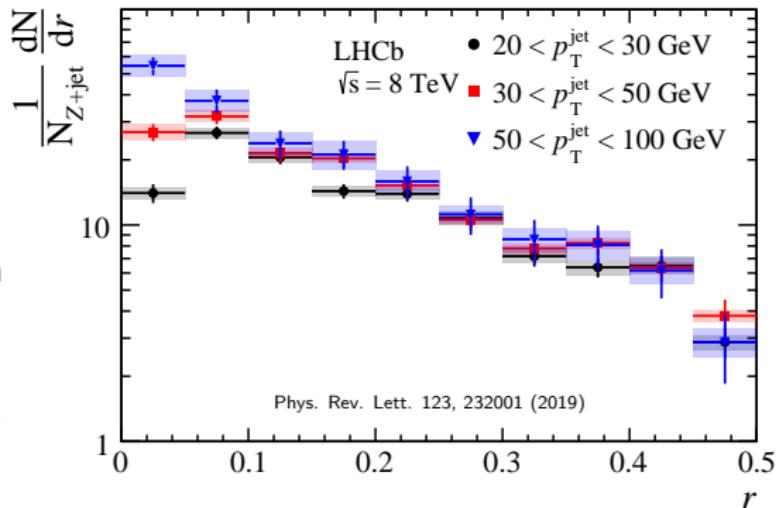
- Transverse momentum distributions show smaller  $\langle j_T \rangle$  in  $Z + \text{jet}$  vs. inclusive jet at small  $j_T$ 
  - Consistent with more collimated light quark vs. gluon jets
- Perturbative region quite similar between quark and gluon jets



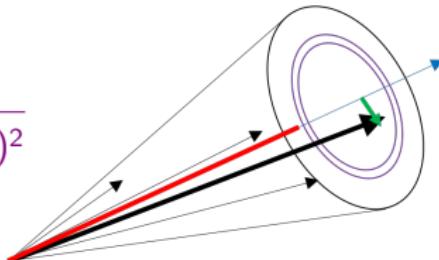
LHCb quark jet (filled) - red and black  
ATLAS gluon jet (open) - blue and green

# Results

- Radial profiles largely independent of jet  $p_T$  away from jet axis
  - Large angle hadron formation independent of jet  $p_T$  or scale of process
- Multiplicity of hadrons along jet axis rises sharply with jet  $p_T$

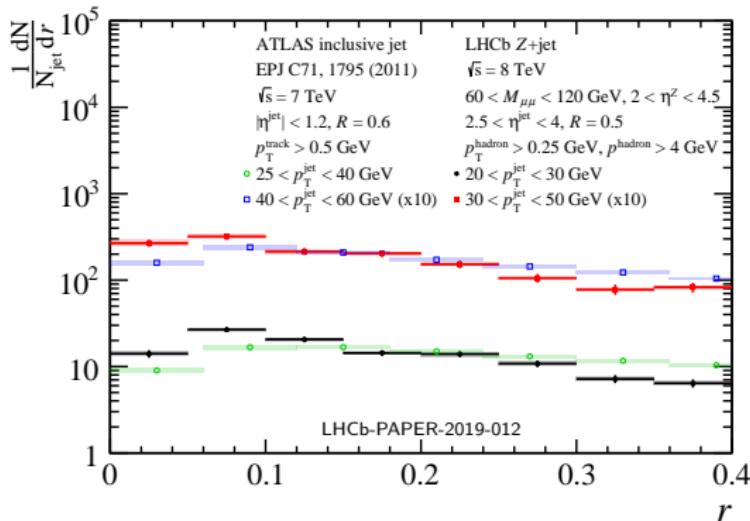


$$r = \sqrt{(\phi_h - \phi_{\text{jet}})^2 + (y_h - y_{\text{jet}})^2}$$



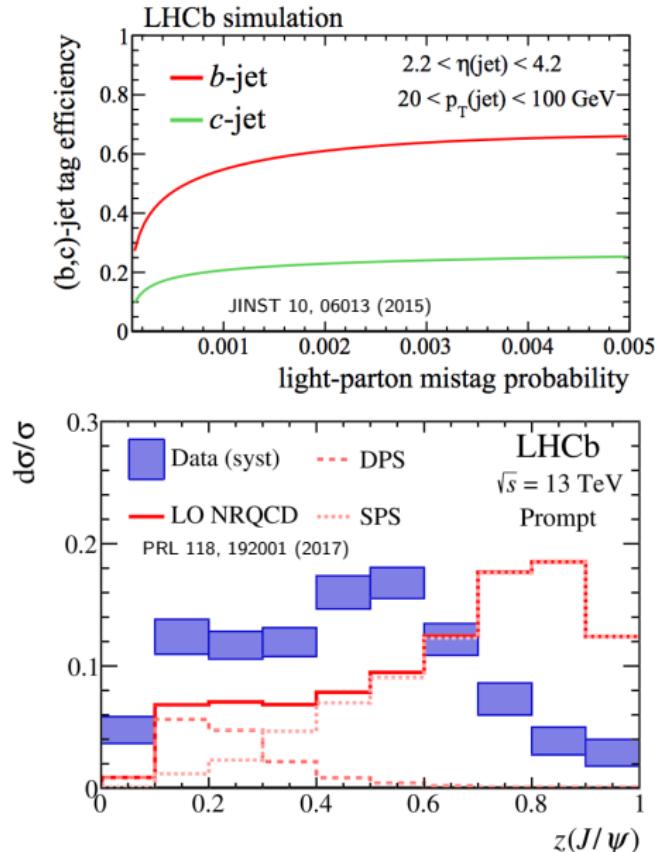
# ATLAS and LHCb Comparisons

- Comparing ATLAS midrapidity inclusive jets to LHCb forward  $Z$ +jet shows jets are more collimated when tagged with a  $Z$
- Gluon jets “flatter” in radius, while light quark jets are “steeper”



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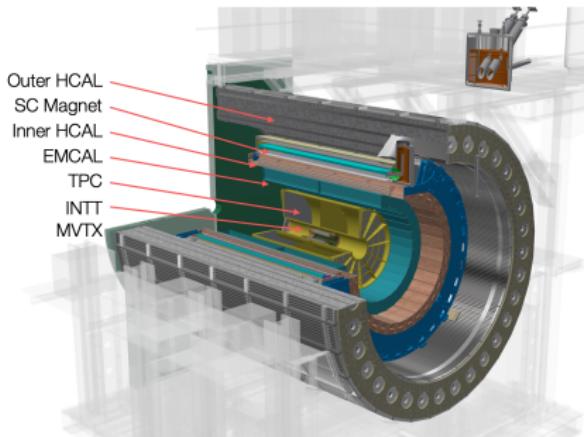
# Future Jet Hadronization Measurements



- Intended to lay the foundation for a broader hadronization program at LHCb utilizing
  - Particle ID (tracking, RICH, calorimetry)
  - Heavy flavor jet tagging
  - Resonance production within jets ( $\phi$ ,  $J/\psi$ ,  $\Upsilon$ )
  - Correlations with flavor ID
  - Change in target size (e.g. use proton-nucleus collisions)

# Future Jet Hadronization Measurements

- sPHENIX is a dedicated jet detector being constructed at RHIC

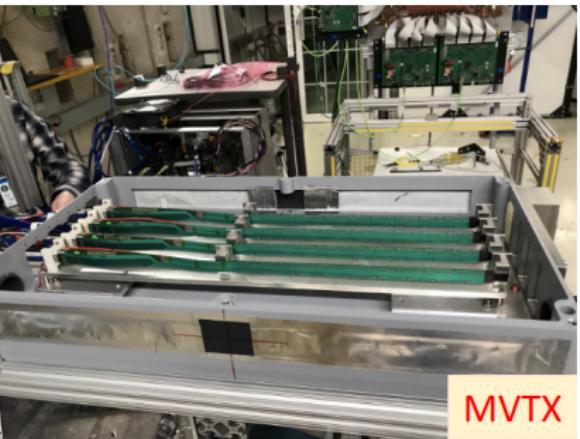


# Future Jet Hadronization Measurements

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- CD3 recently approved, construction is moving forward for installation in 2022

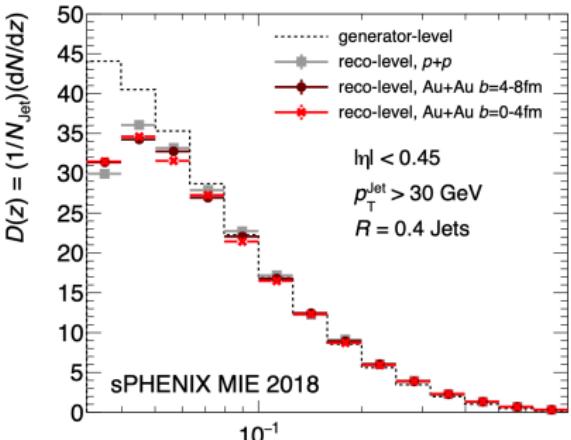
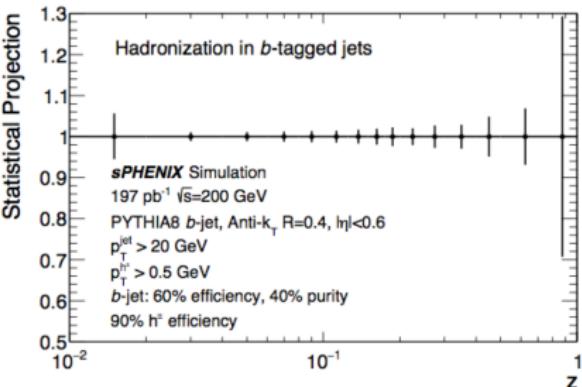


EMCal



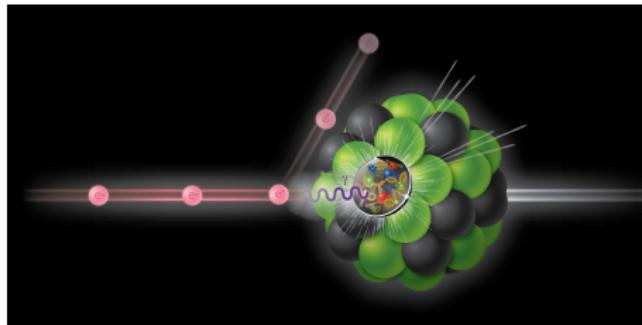
# Future Jet Hadronization Measurements

- sPHENIX is a dedicated jet detector being constructed at RHIC
- CD3 recently approved, construction is moving forward for installation in 2022
- Jet substructure and hadronization a major component of science case



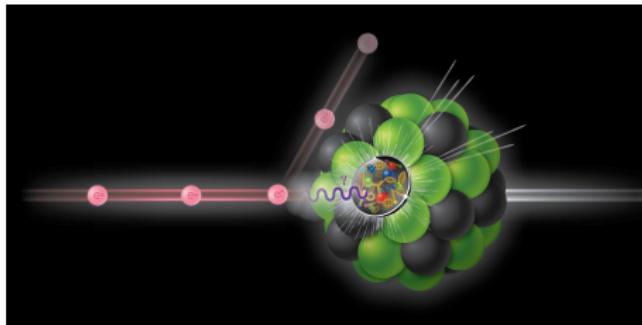
# Hadronization at an Electron Ion Collider

- Electron Ion Collider (EIC) is the next major accelerator facility planned in the US
- CD0 recently approved by DOE for construction at Brookhaven National Laboratory



# Hadronization at an Electron Ion Collider

- Electron Ion Collider (EIC) is the next major accelerator facility planned in the US
- CD0 recently approved by DOE for construction at Brookhaven National Laboratory
- Hadronization is a major pillar of EIC physics case
- Developing ideas in the next decade before EIC will be crucial to maximize science output of this unique QCD machine!



## Conclusions

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- New results on hadronization and jet substructure in  $Z +\text{jet}$  events at LHCb
- Preferentially selects light quark jets vs. gluon jets
  - Opportunity for understanding nonperturbative hadronization dynamics
  - Opportunity for understanding boosted gluon vs. light quark jets

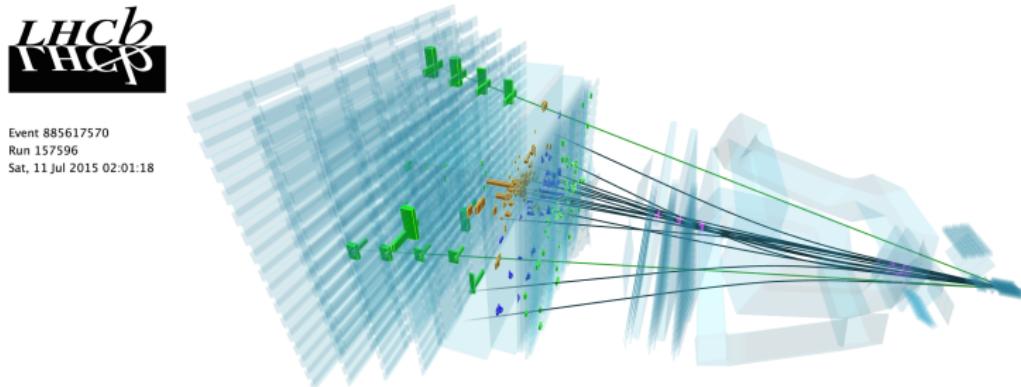
## Conclusions

- Jet substructure has exploded onto the high energy and nuclear physics scene, with wide ranging physics interests
- New results on hadronization and jet substructure in  $Z +\text{jet}$  events at LHCb
- Preferentially selects light quark jets vs. gluon jets
  - Opportunity for understanding nonperturbative hadronization dynamics
  - Opportunity for understanding boosted gluon vs. light quark jets
- Ideas behind hadronization are relatively undeveloped, but there will be significant growth with current and future experiments!

**Back Up**

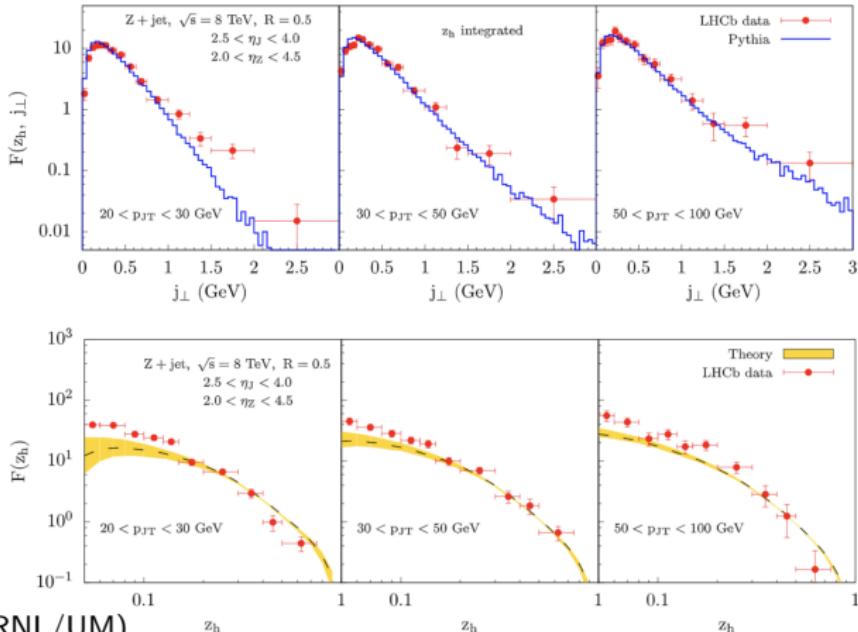
## Analysis Details

- Follow similar analysis strategy to ATLAS (EPJC 71, 1795 (2011), NPA 978, 65 (2018)) and LHCb (PRL 118, 192001 (2017))
- $Z \rightarrow \mu^+ \mu^-$  identified with  $60 < M_{\mu\mu} < 120$  GeV, in  $2 < \eta < 4.5$
- Anti- $k_T$  jets are measured with  $R = 0.5$ ,  $p_T^{jet} > 20$  GeV, in  $2.5 < \eta < 4$
- $|\Delta\phi_{Z+jet}| > 7\pi/8$  and single primary vertex selects  $2 \rightarrow 2$  topology
- Charged hadrons identified with  $p_T > 0.25$  GeV,  $p > 4$  GeV,  $\Delta R < 0.5$
- Results efficiency corrected and 2D Bayesian unfolded



# Theory Comparisons

- Theory colleagues have already published comparisons to data
- Reasonable description of data
- However, LHCb data has started a discussion on best (theoretically) tractable ways to study hadronization

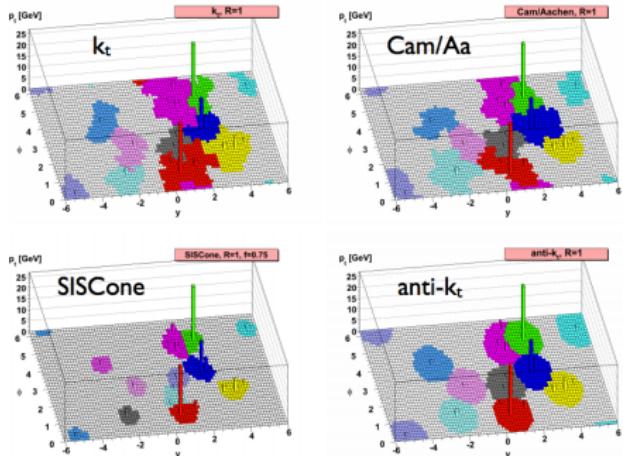


# Anti- $k_T$ Algorithm

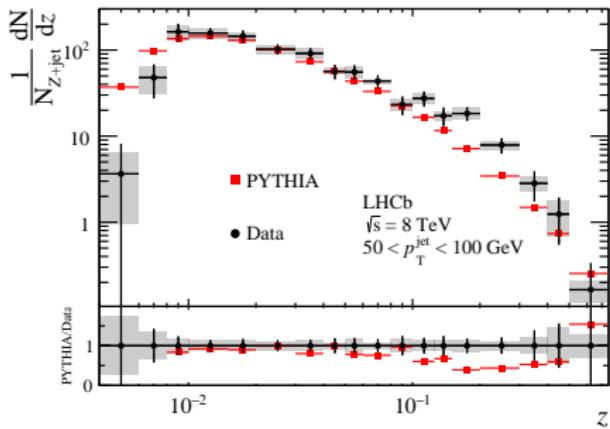
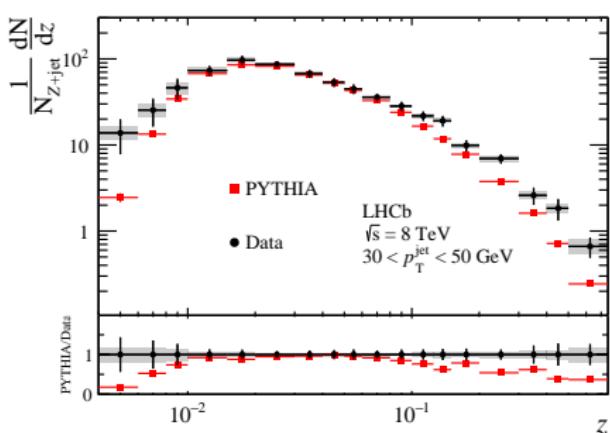
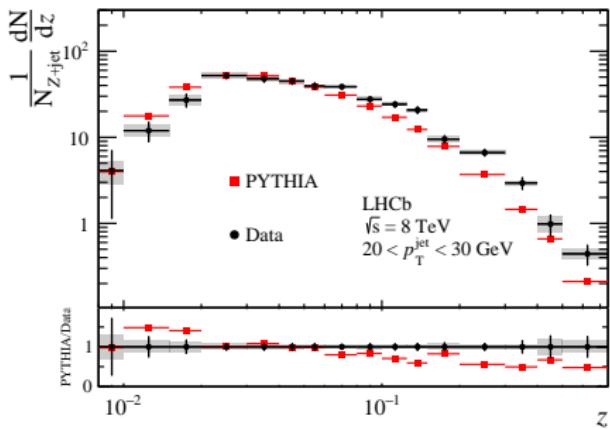
- Sequential recombination algorithm which clusters particles into jets based on their  $p_T$
- Widely used as it is both infrared and collinear safe in calculations
- Clusters particles around highest  $p_T$  particle in a conical shape

$$d_{ij} = \min(p_{T_i}^{-2}, p_{T_j}^{-2}) \frac{\Delta_{ij}^2}{R^2}$$

$$d_{iB} = p_{T_i}^{-2}$$

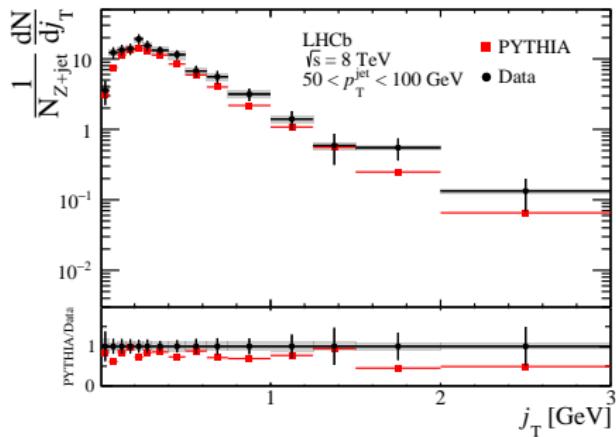
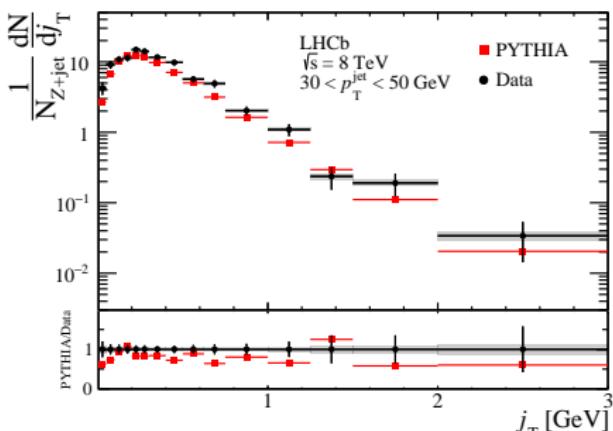
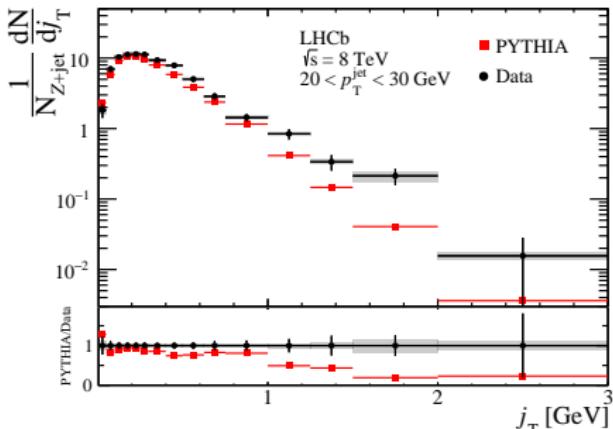


# Comparisons with PYTHIA ( $z$ )



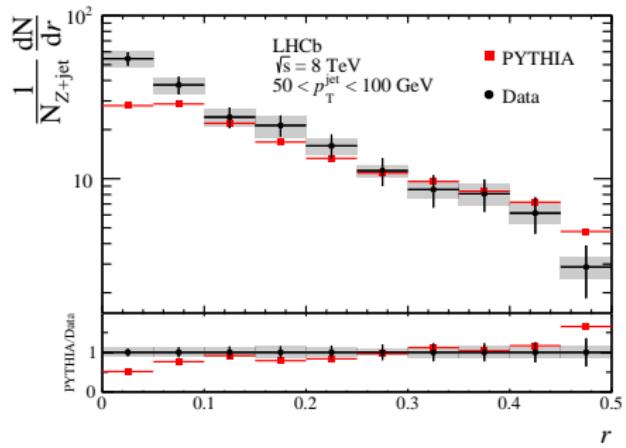
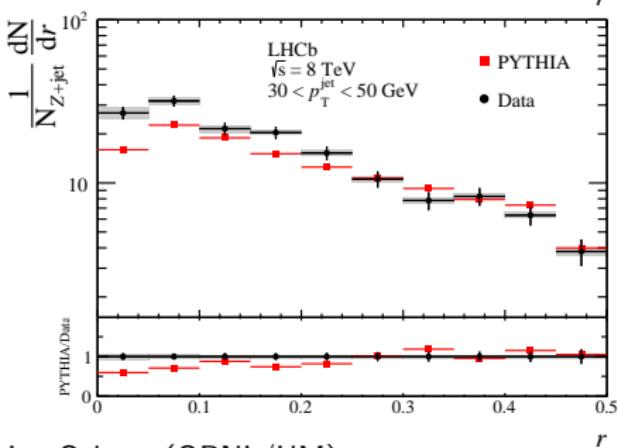
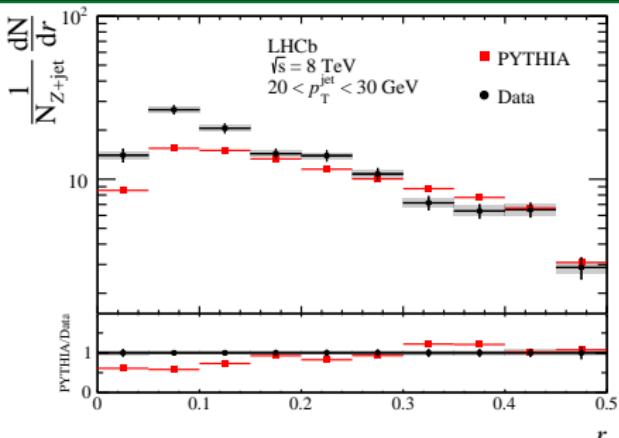
- PYTHIA generally underpredicts the number of high  $z$  hadrons

# Comparisons with PYTHIA ( $j_T$ )



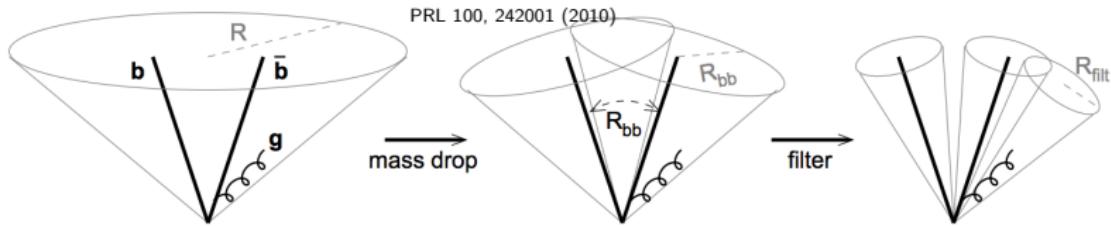
- PYTHIA generally gets  $j_T$  shape, with about a 20% difference in normalization

# Comparisons with PYTHIA ( $r$ )



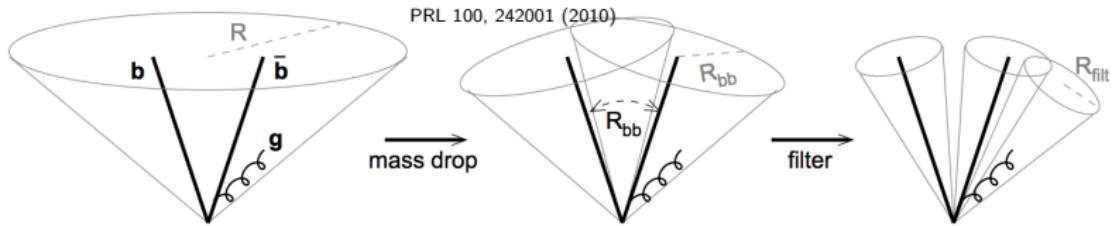
- PYTHIA generally underpredicts the number of small  $r$  hadrons

# Symbolic Beginning



- Substructure revolution symbolically initiated by 2010 Butterworth *et al* PRL
- Motivated by searching for highly boosted  $VH \rightarrow \ell^\pm b\bar{b}$  production

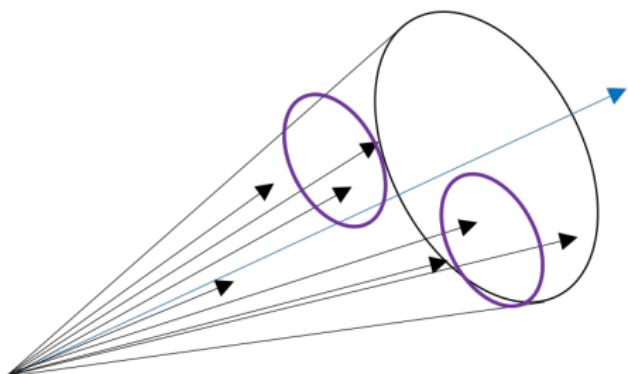
# Symbolic Beginning



- Substructure revolution symbolically initiated by 2010 Butterworth *et al* PRL
- Motivated by searching for highly boosted  $VH \rightarrow \ell^\pm b\bar{b}$  production
- Jet substructure was motivated by new particle searches
- However, many fields of physics at collider facilities quickly realized the potential of these techniques

# Fragmentation vs. Hadronization

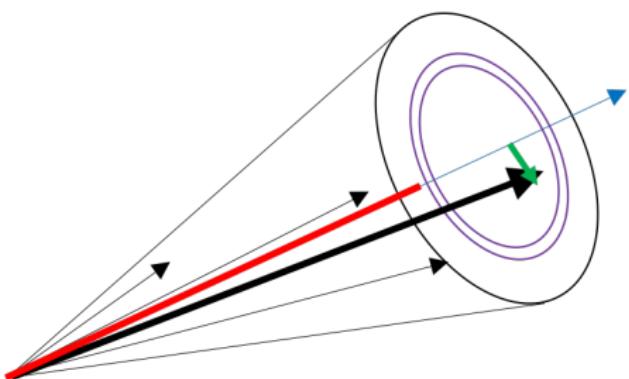
## Fragmentation



- Use jet grooming algorithms to identify “prongs” of jet, as a proxy for partonic splittings

**LEFT**

## Hadronization

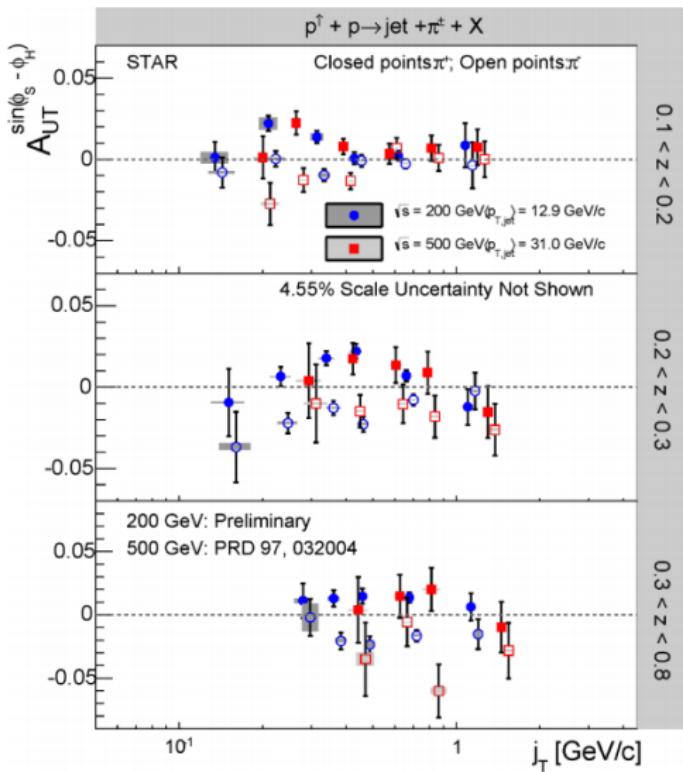


- Use individual hadrons to study correlations with jet axis

**RIGHT**

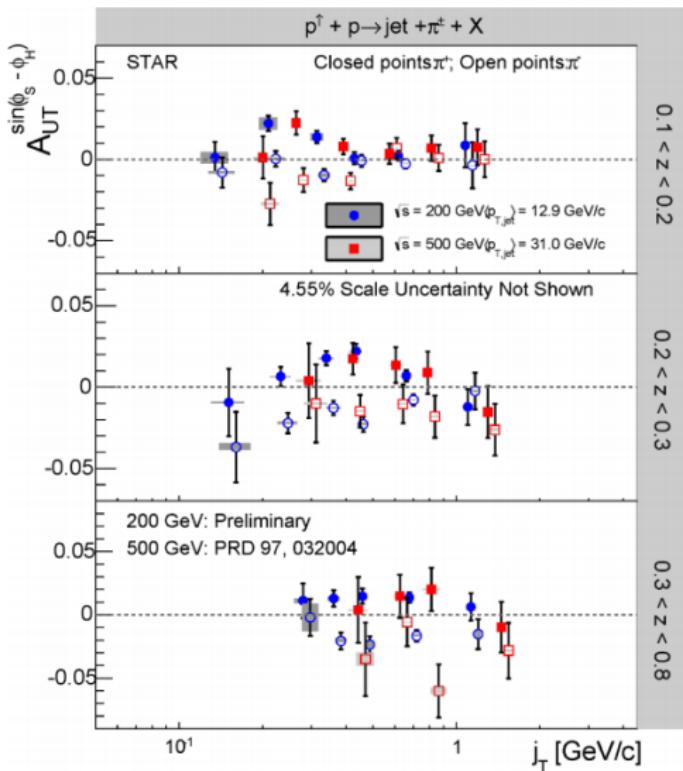
# Jet Substructure Physics at RHIC

- STAR has measured hadrons in jets produced in transversely polarized  $pp$  collisions
- Sensitive to 3D distributions of hadrons within jets

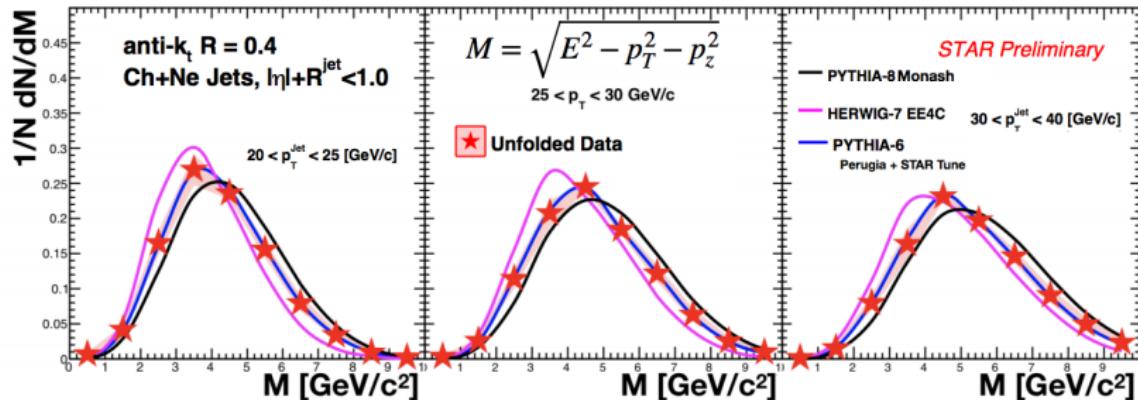


# Jet Substructure Physics at RHIC

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- Sensitive to quark-hadron spin-momentum correlations

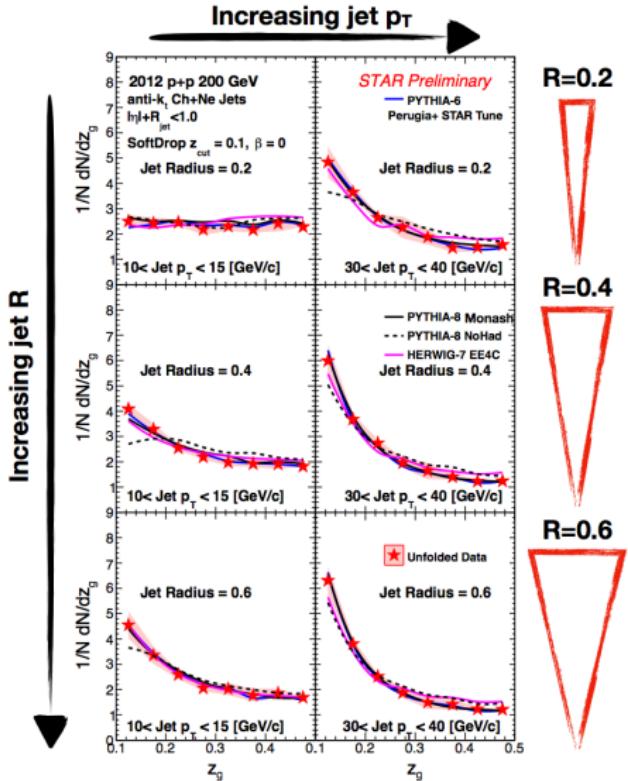


# Jet Substructure Physics at RHIC



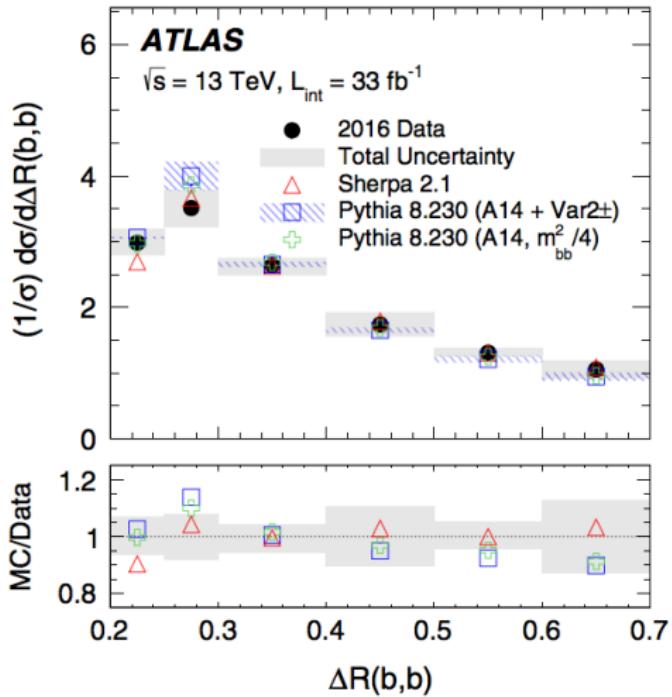
- Measurement of jet mass sensitive to both fragmentation and hadronization aspects of jet substructure!
- Can study the interplay and connections between both

# Jet Substructure Physics at RHIC



- Measurements of momentum sharing between subjets within jets
- Sensitive to QCD splitting function
  - How is energy shared between partons?
- Multidifferential as a function of jet radius and jet transverse momentum

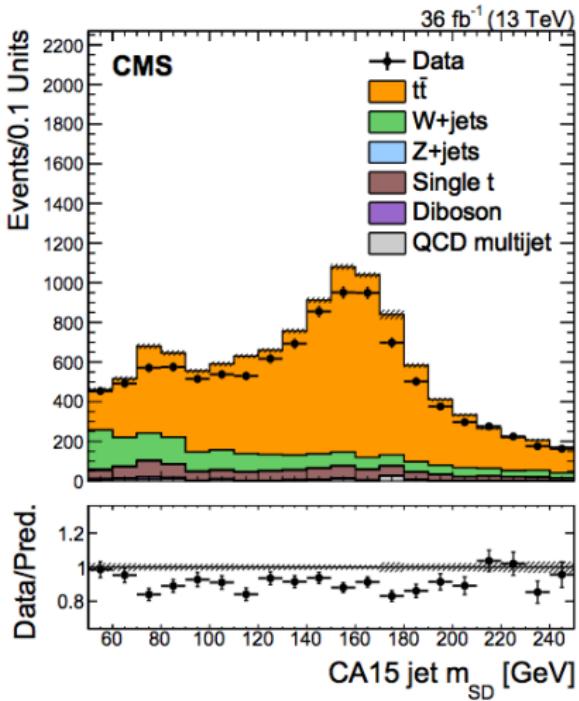
# Jet Substructure at the LHC



- Measurement of  $b\bar{b}$  jets from gluon splitting
- Improve understanding of boosted  $H \rightarrow b\bar{b}$  decays
- Improve understanding of  $b\bar{b}$  fragmentation

Phys. Rev. D 99, 052004 (2019)

# Jet Substructure at the LHC

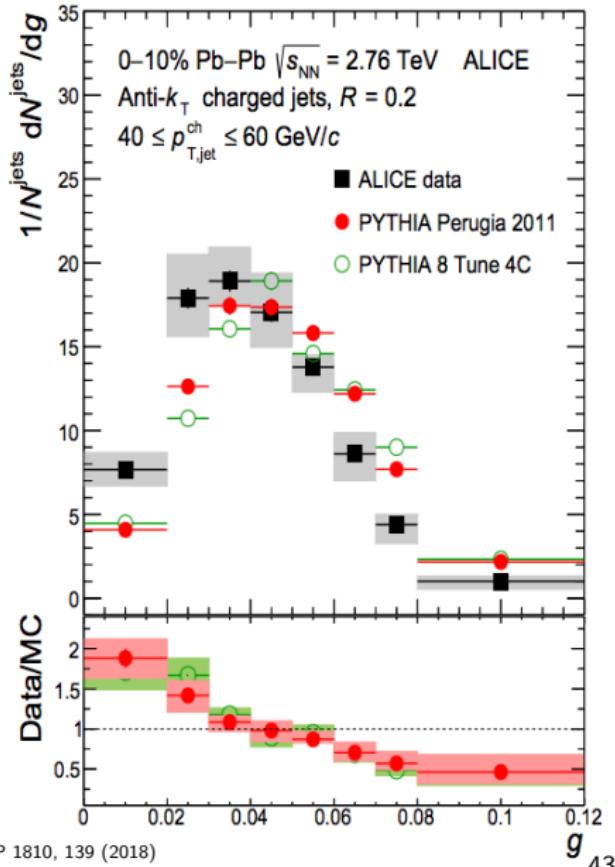


- Searches for dark matter particles using jet substructure techniques
- Soft drop algorithm recursively removes soft, wide angle radiation to better identify  $t\bar{t}$  candidates
  - Improves searches for new particles

JHEP 1806, 027 (2018)

# Jet Substructure at the LHC

- Jet girth shows transverse momentum weighted width
- Indication of how “wide” jets are based on their hadronic constituents
- Improves understanding of nonperturbative hadronization dynamics



**What physics can jet substructure access?**

# Jet Substructure

- Searching “find fulltext ‘jet substructure’ and tc p” on INSPIRE yields number of published papers
- Number of papers per year has exploded in last decade
- Papers discuss wide range of physics interests
  - Searches for new particles
  - Heavy flavor jet tagging
  - BSM searches (e.g. dark matter)
  - Heavy ion collisions
  - Machine learning
  - QCD color connections
  - ...

